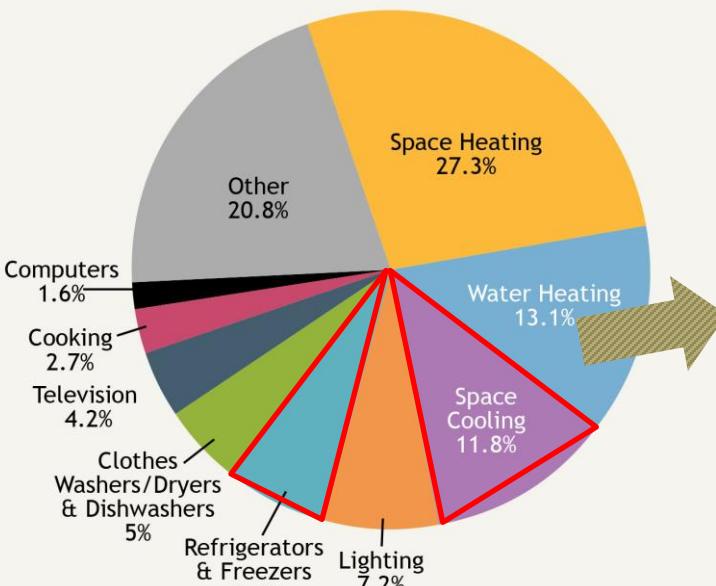


CALORIC MATERIALS FOR COOLING AND HEATING

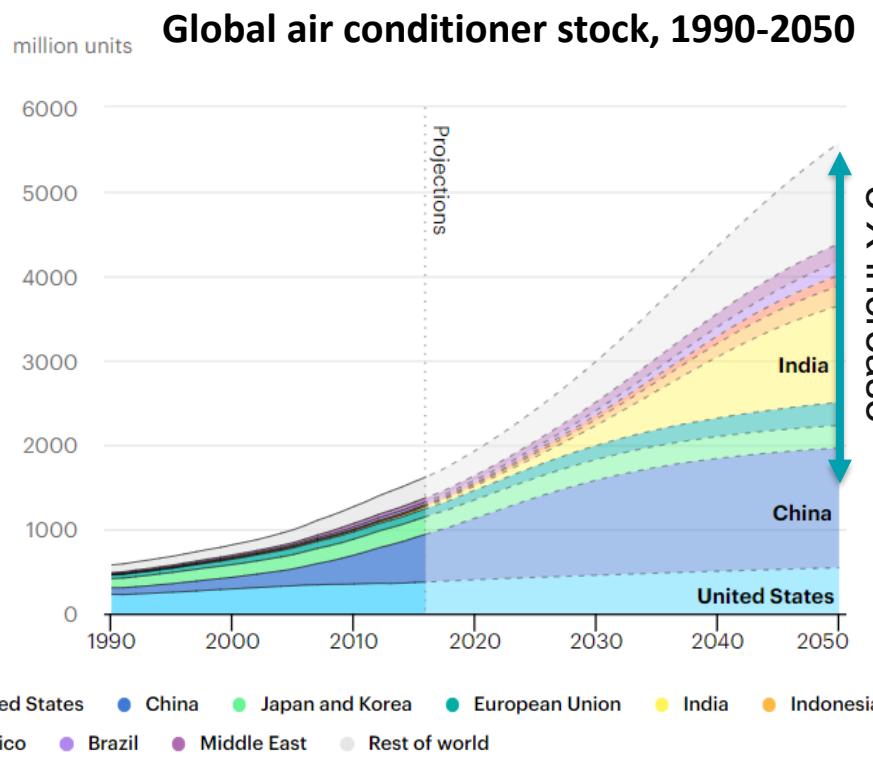
Emmanuel Defay

HOW MUCH ENERGY DOES COOLING STAND FOR ?

Energy Usage in the U.S. Residential Sector in 2015



18.1%
for cooling
in the US



World consumption for cooling = 20 % of electricity in 2020
Can reach 50 % in 2050
Global warning does not help

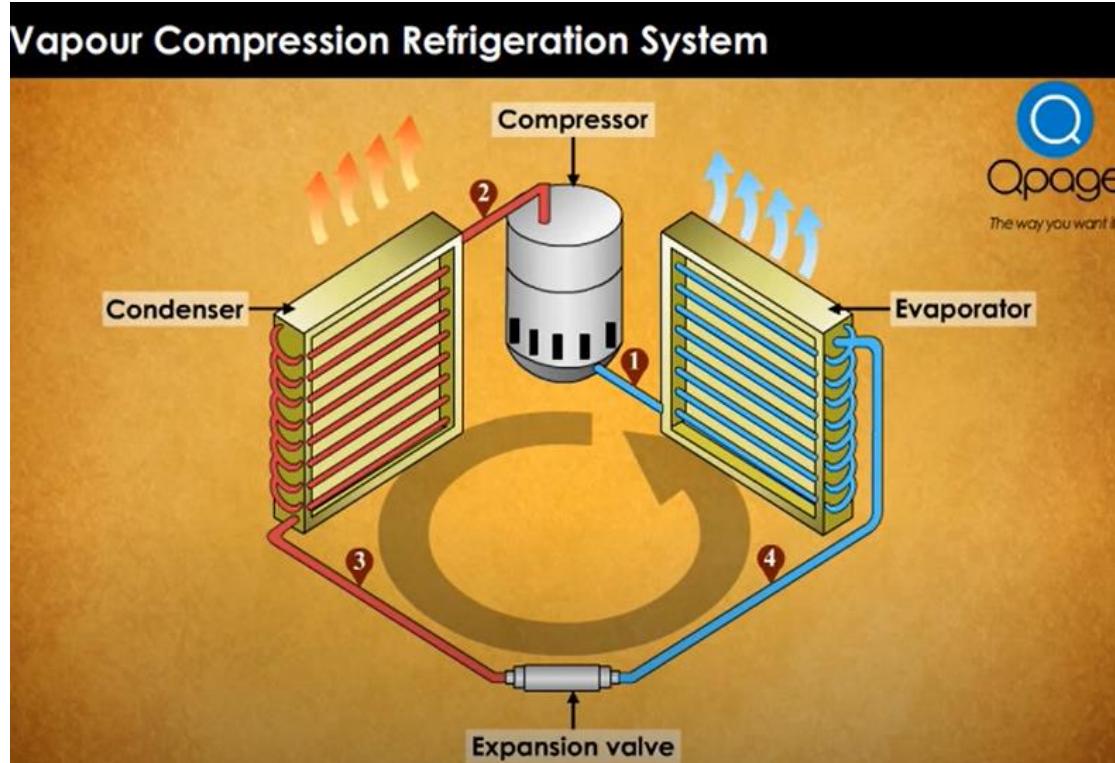
More than 50 % heating + cooling !

CHALLENGES

- Decrease greenhouse gases
- Increase energy efficiency
 - Less energy required for cooling and heating
 - Heat pump programme in EU



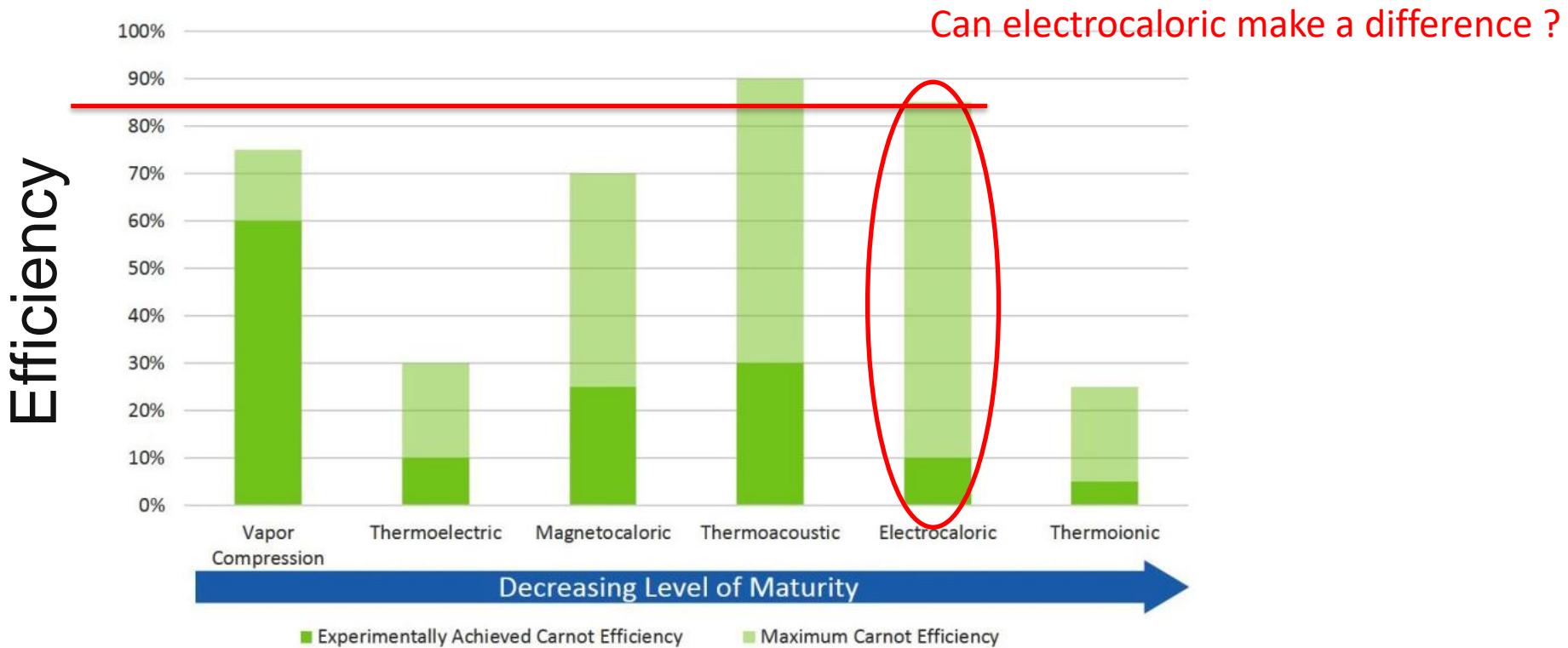
CURRENT TECHNOLOGY



https://www.youtube.com/watch?v=Wj_MO4BqtA

- By far the most used system
- Developed since 1850s
- Work either with substances dangerous for health or greenhouse gases

ALTERNATIVE TECHNOLOGIES



CALORIC MATERIALS

Example of elasto-caloric effect in a balloon

Cf Feynman's lecture on thermodynamics

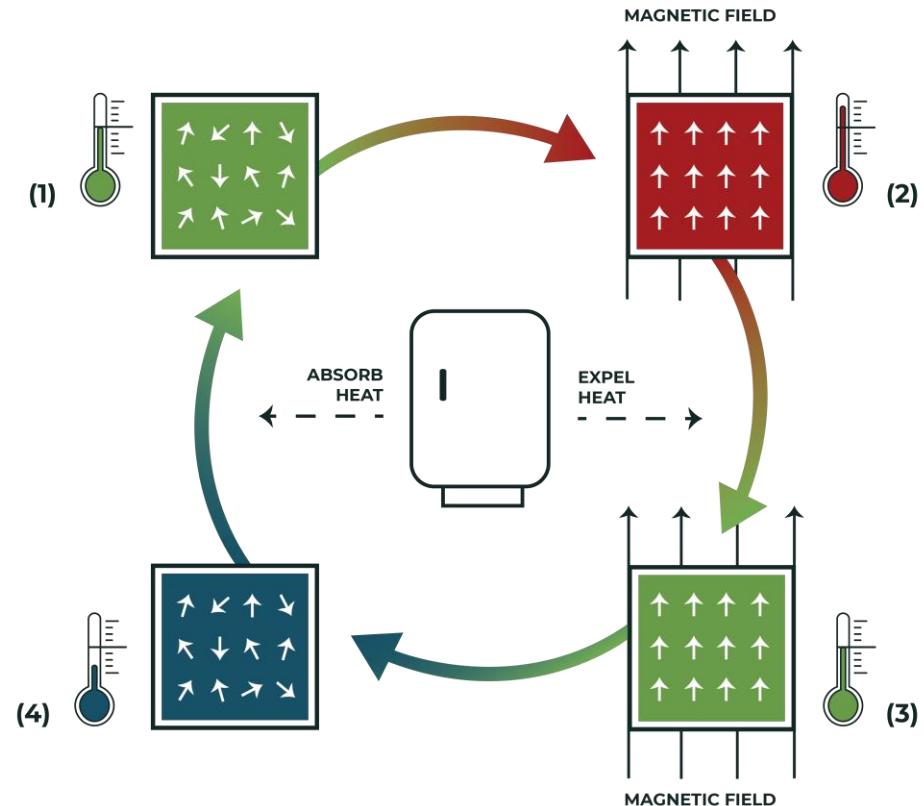


Courtesy X. Moya (Cambridge, UK)

- Mechanical stress infers molecules rearrangement => entropy change
- Thermodynamic effect => positive then negative temperature change
- A cycle is required to make a fridge

CALORIC MATERIALS – FOUR POSSIBLE EFFECTS

- Magnetocaloric
- Elastocaloric
- Barocaloric
- Electrocaloric



MAGNETIC COOLING PRINCIPLE.

OUTLINE

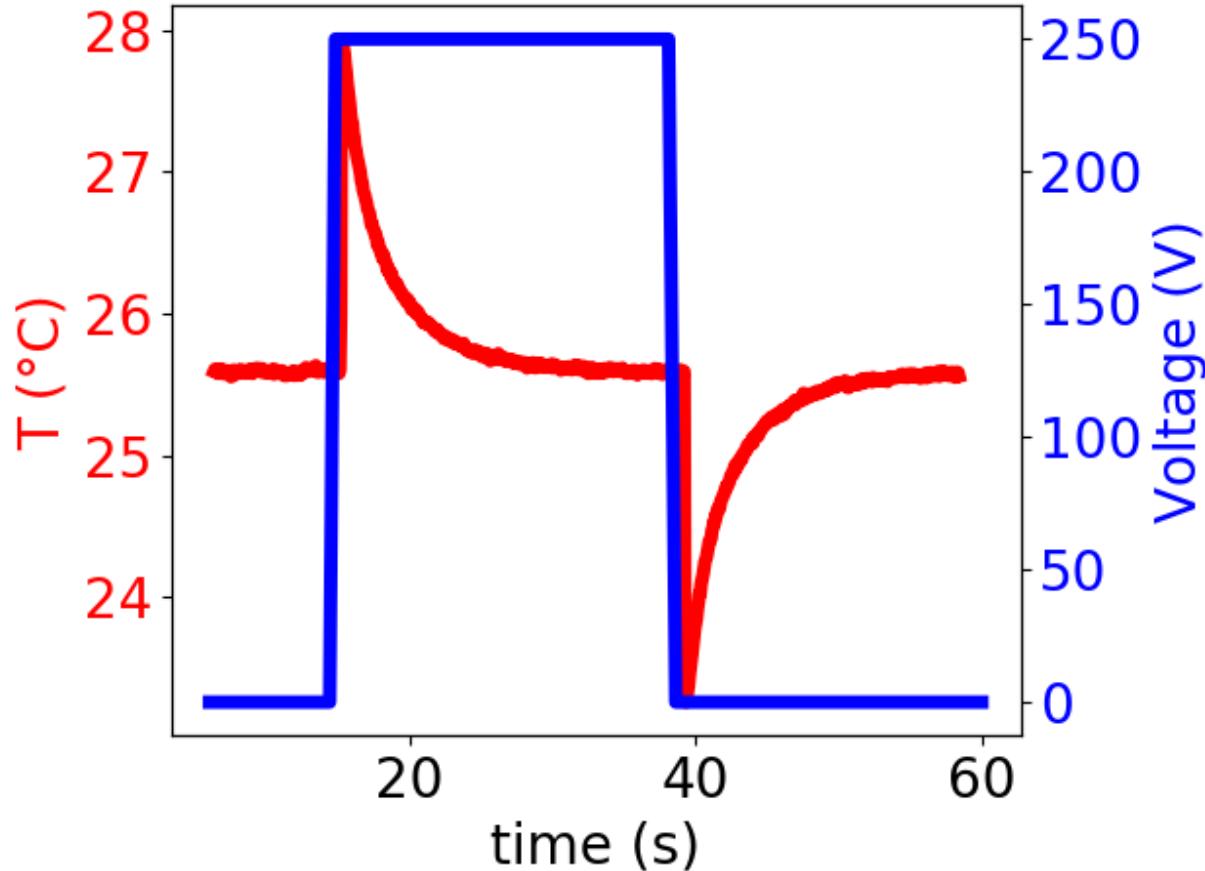
- The electrocaloric effect
- Free energy description
- Electrocaloric materials
- A key element – multilayer capacitors
- Characterization
- Electrocaloric cooling systems

THE ELECTROCALORIC EFFECT

Ceramic Pb(Sc,Ta)O₃



THE ELECTROCALORIC EFFECT



FREE ENERGY SIMPLE ANALYSIS

- Free energy description of a ferroelectric material, close to ferro-para transition

$$G = G_0 + \frac{1}{2} \alpha(T - T_C)P^2 + \frac{1}{4} \beta P^4 - EP$$

Gibbs free energy G

Polarisation P

Temperature T

α, β : parameters of G

G at zero polarisation G_0

Electric field E

Transition Temperature $= T_C$

Entropy S

$$dG = -SdT - PdE$$

$$S = -\left(\frac{dG}{dT}\right)_P = -\frac{1}{2} \alpha P^2 \quad => \quad \Delta S = -\frac{1}{2} \alpha (P_{\max}^2 - P_{\min}^2)$$

Large variations of S need large α and large variations of P

FREE ENERGY SIMPLE ANALYSIS

$$G = G_0 + \frac{1}{2} \alpha(T - T_C)P^2 + \frac{1}{4} \beta P^4 - EP$$

$$\left(\frac{dG}{dP} \right)_T \text{ equilibrium} = 0$$

$$\left(\frac{dG}{dP} \right)_T = \alpha(T - T_c)P + \beta P^3 - E = 0$$

$$\left(\frac{d^2G}{dP^2} \right)_T = \alpha(T - T_c) + 3\beta P^2 = \left(\frac{dE}{dP} \right)_T = \frac{1}{\varepsilon} \quad \varepsilon - \text{dielectric constant}$$

If P is small $\varepsilon = \frac{1}{\alpha(T-T_c)}$

For large ΔS , at similar ΔP^2 , we need large α , meaning small ε

ADIABATIC VARIATION OF TEMPERATURE

- Adiabatic conditions => $\Delta S_{total} = \Delta S_{lattice} + \Delta S_{dipoles} = 0$

$$T\Delta S_{lattice} = \rho C_p \Delta T$$

$$\Delta S_{dipoles} = -\frac{1}{2} \alpha (P_{max}^2 - P_{min}^2)$$

$$\Delta T = \frac{T}{2\rho C_p} \alpha (P_{max}^2 - P_{min}^2)$$

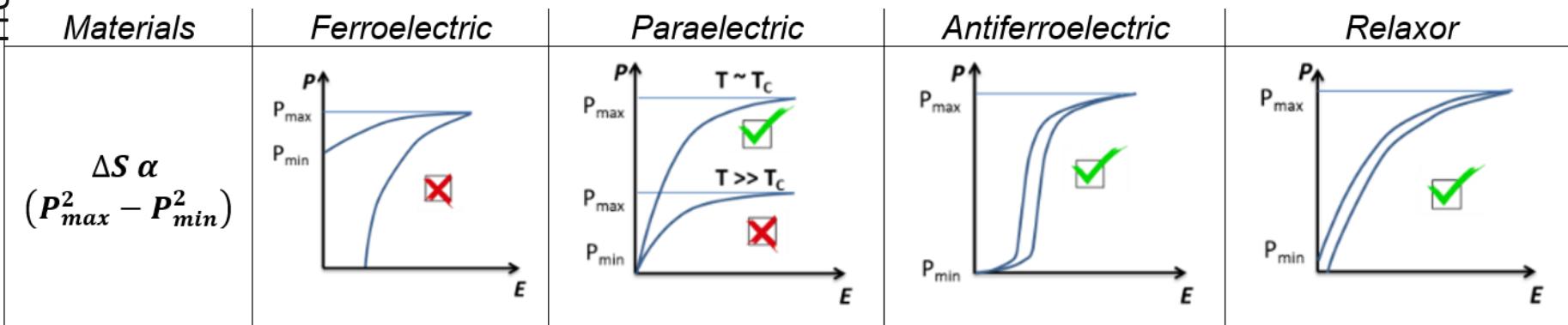
- ρ – density
- C_p – heat capacity

Large variations of T need large α and large variations of P

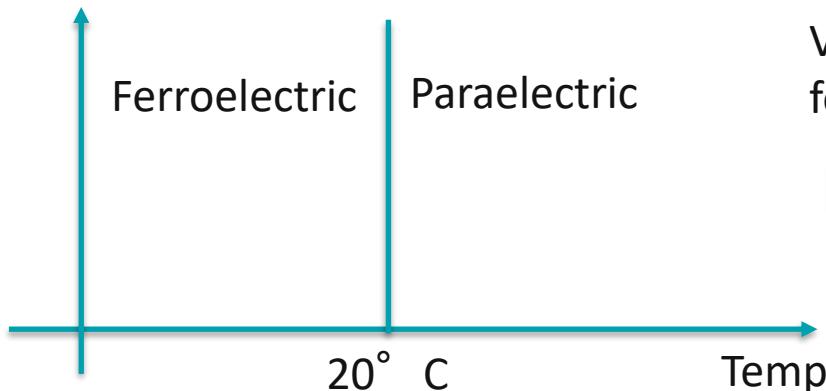
FREE ENERGY SIMPLE ANALYSIS

Free energy description

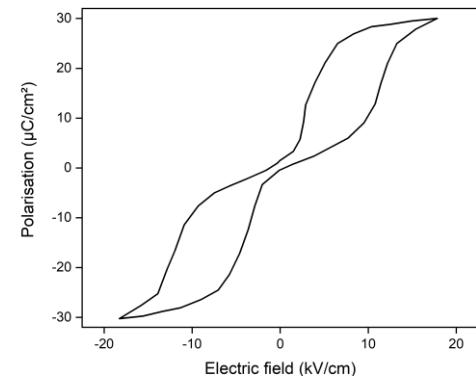
- Large variations of S (and T) need large variations of P



- Interesting case – voltage induced phase transition in PST ceramics



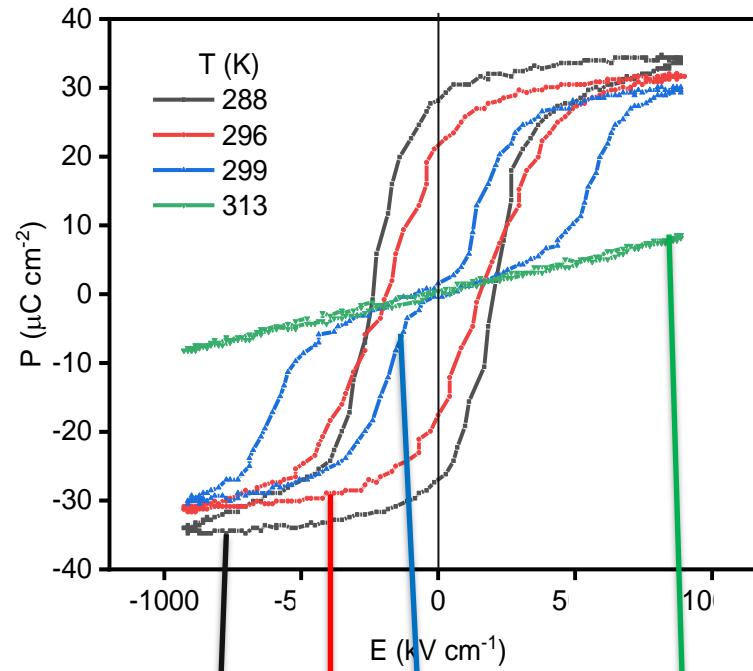
Voltage forces
ferroelectricity



FREE ENERGY SIMPLE ANALYSIS

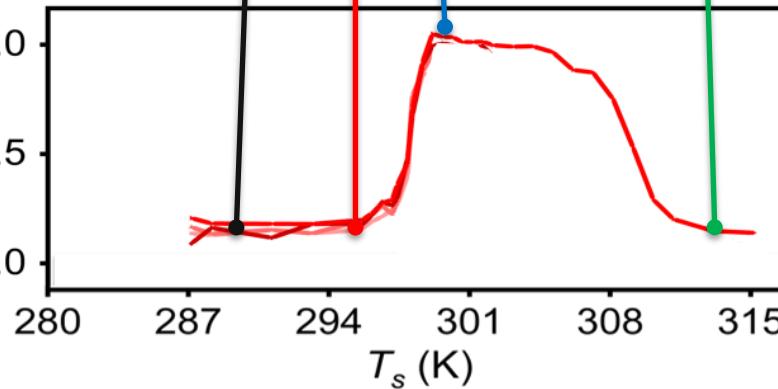
Interesting case – field induced phase transition in PST ceramics

$$\Delta T = \frac{T}{2\rho C_p} \alpha (P_{\max}^2 - P_{\min}^2)$$



Electrocaloric
effect at
 10 kV cm^{-1}

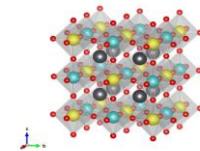
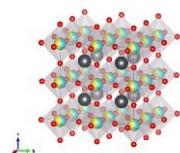
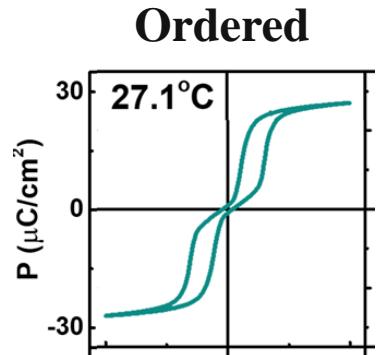
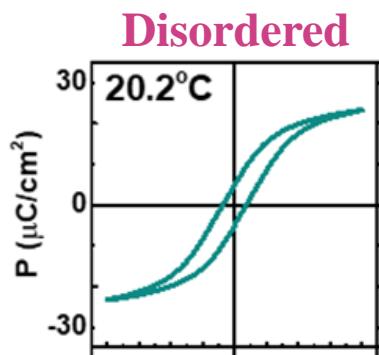
$\Delta T_{\text{adiab}} (\text{K})$



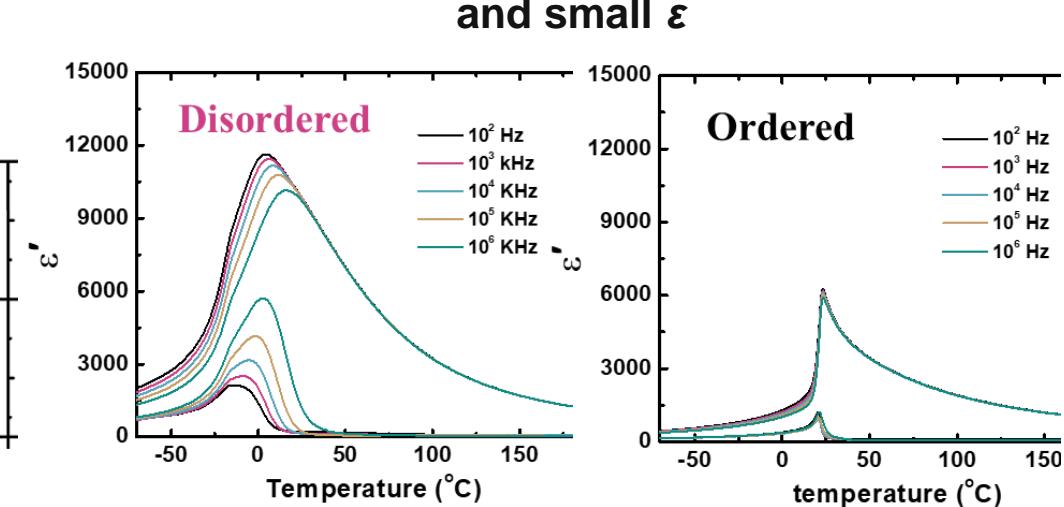
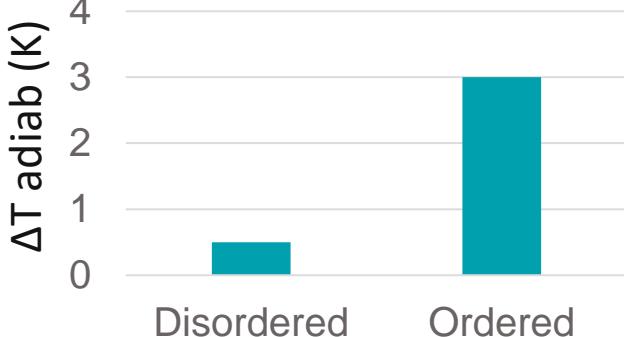
FREE ENERGY SIMPLE ANALYSIS

Example on lead scandium tantalate (PST)

For large ΔS , we need large ΔP^2



Electrocaloric characterization



Large P and small ϵ
favour electrocaloric effect

As expected by Landau's model

$$\Delta T = \frac{T}{2\rho C_p} \alpha (P_{\max}^2 - P_{\min}^2)$$

ANOTHER COMMENT FROM LANDAU

Legendre transform

$$dU = TdS + EdP \quad \xrightarrow{\text{Legendre transform}} \quad G = U - TS - EP$$

U: internal energy
G: Gibbs energy

Maxwell relation

$$dG = -SdT - PdE \quad \xrightarrow{\text{Maxwell relation}} \quad \left(\frac{\partial P}{\partial T}\right)_E = \left(\frac{\partial S}{\partial E}\right)_T$$

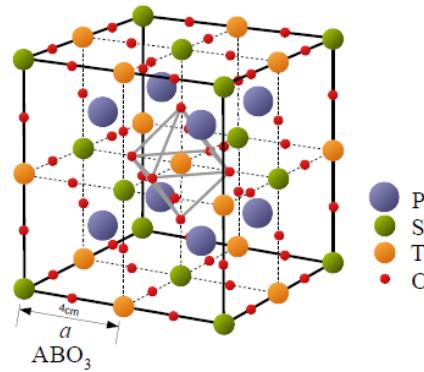
isothermal $\Delta S = \int_{E_{min}}^{E_{max}} \left(\frac{\partial P}{\partial T}\right)_E dE$

adiabatic $\Delta T = -\frac{T}{c_E} \int_{E_{min}}^{E_{max}} \left(\frac{\partial P}{\partial T}\right)_E dE$

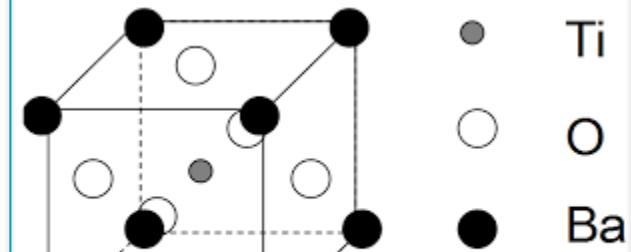
The variation of P with temperature is the engine of electrocalorics

ELECTROCALORIC MATERIALS

- Lead scandium tantalate
 - Probably the best EC ceramic
 - Phase transition at room T°



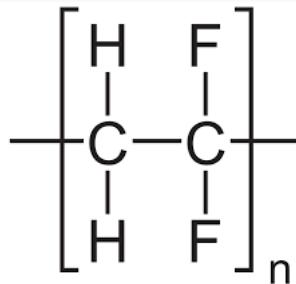
- Barium titanate
 - The lead free alternative
 - Phase transition at 120° C



- Lead zirconate
 - Large <0 EC effect



- Polyvinylidene Difluoride PVDF
 - Alternative to ceramics

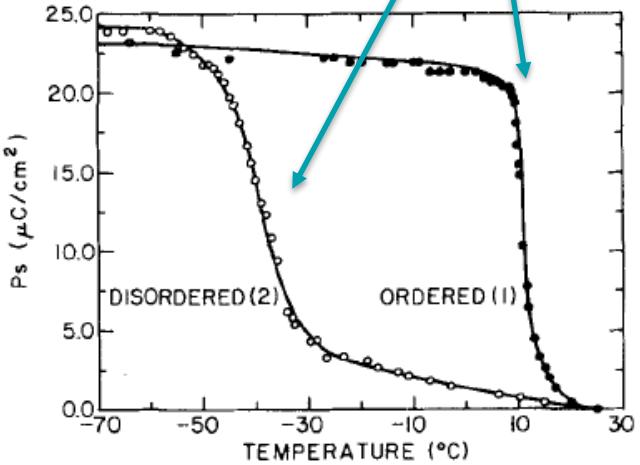


LEAD SCANDIUM TANTALATE - PST

- Perovskite ABO_3
- Ordered – regular alternance of Sc and Ta on B-site
- When ordered => 1st order phase transition
- When disordered => ferroelectric relaxor

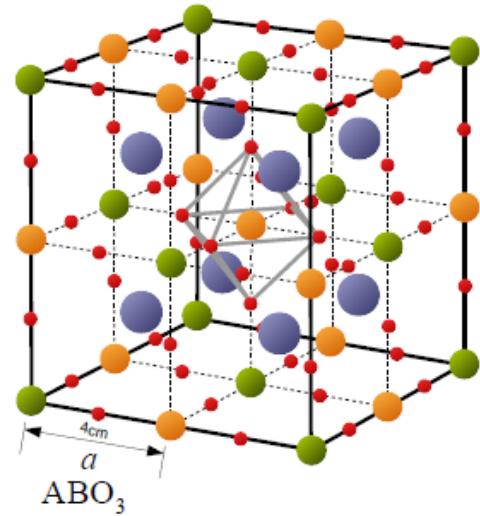
$$\Delta S = \int_{E_{min}}^{E_{max}} \left(\frac{\partial D}{\partial T} \right)_E dE$$

Steep => large ΔS



N. Setter, JAP 1980

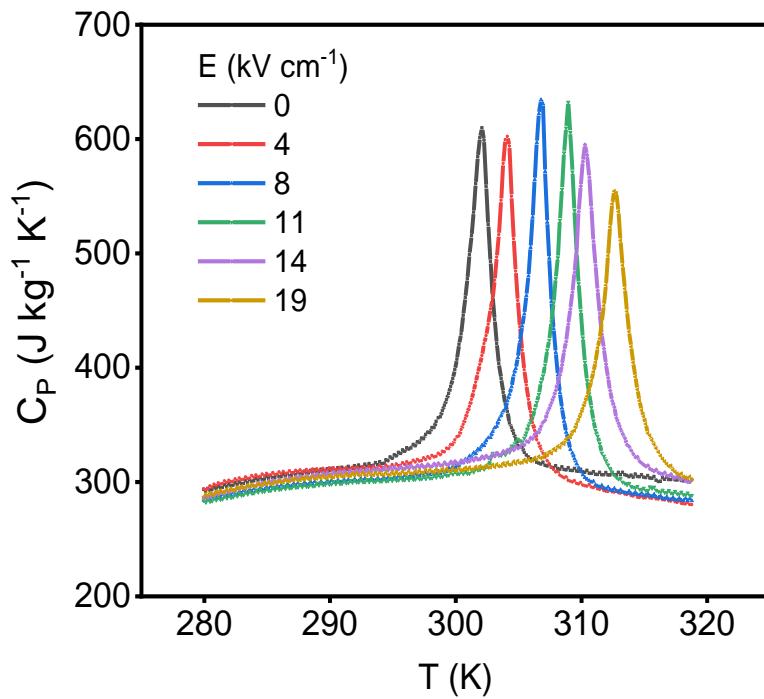
● Pb
● Sc
● Ta
● O



LEAD SCANDIUM TANTALATE - PST

Calorimetry on PST bulk

- First order phase transition => latent heat at the transition
- Transition temperature depends on electric field (isofield DSC)



Max values

$$L = 1000 \text{ J kg}^{-1}$$

$$\Delta S = 3.4 \text{ J kg}^{-1} \text{ K}^{-1}$$

$$\Delta T_{\text{adiab}} = 3.7 \text{ K}$$



ARTICLE

<https://doi.org/10.1038/s41467-021-23354-y>

OPEN

Giant electrocaloric materials energy efficiency in highly ordered lead scandium tantalate

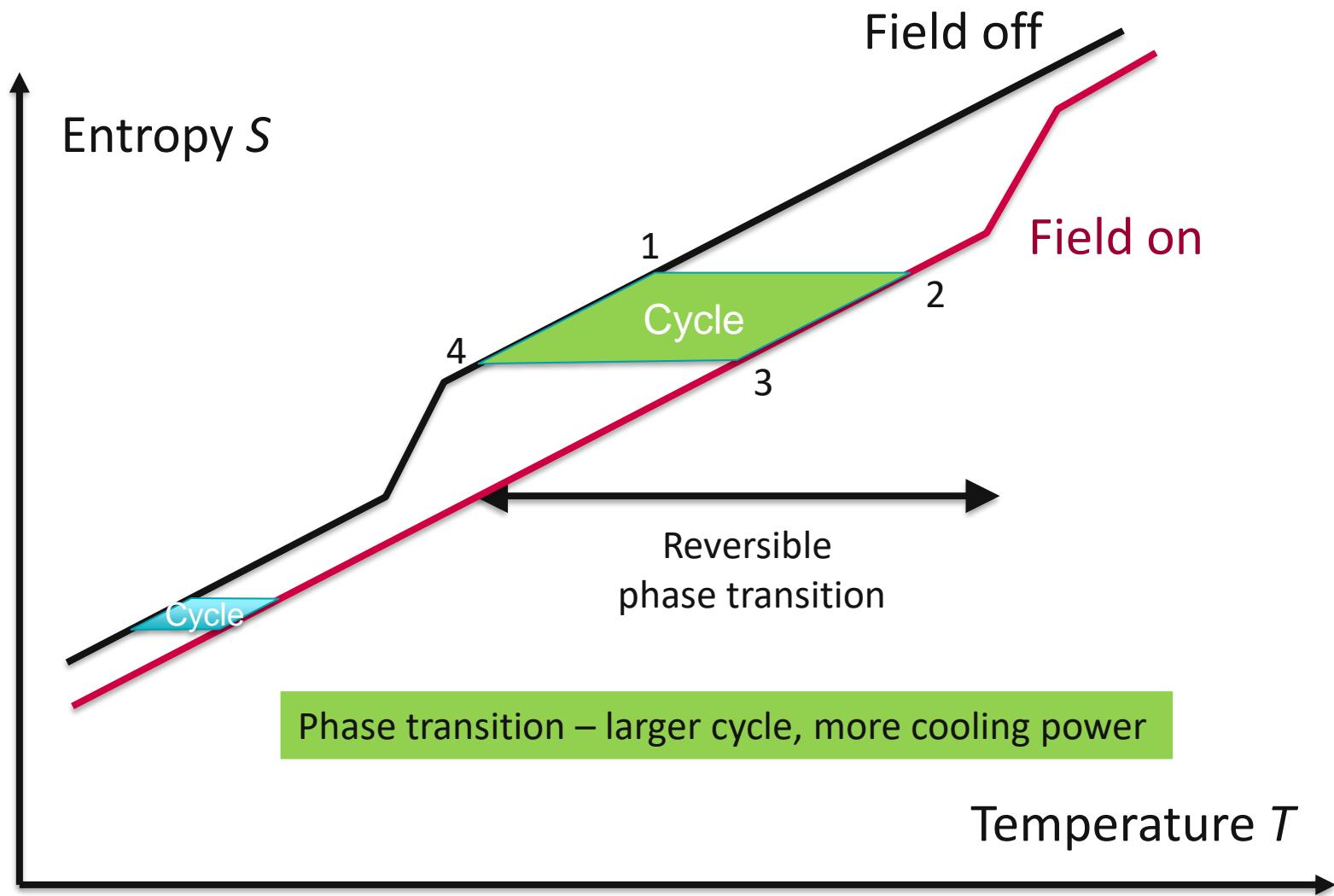
Youri Nouchokgue^{1,2}, Pierre Lheritier¹, Chang-Hyo Hong³, Alvar Torelló^{1,2}, Romain Faye¹, Wook Jo^{1,3}, Christian R. H. Bahl⁴ & Emmanuel Defay¹

Check for updates

LEAD SCANDIUM TANTALATE - PST

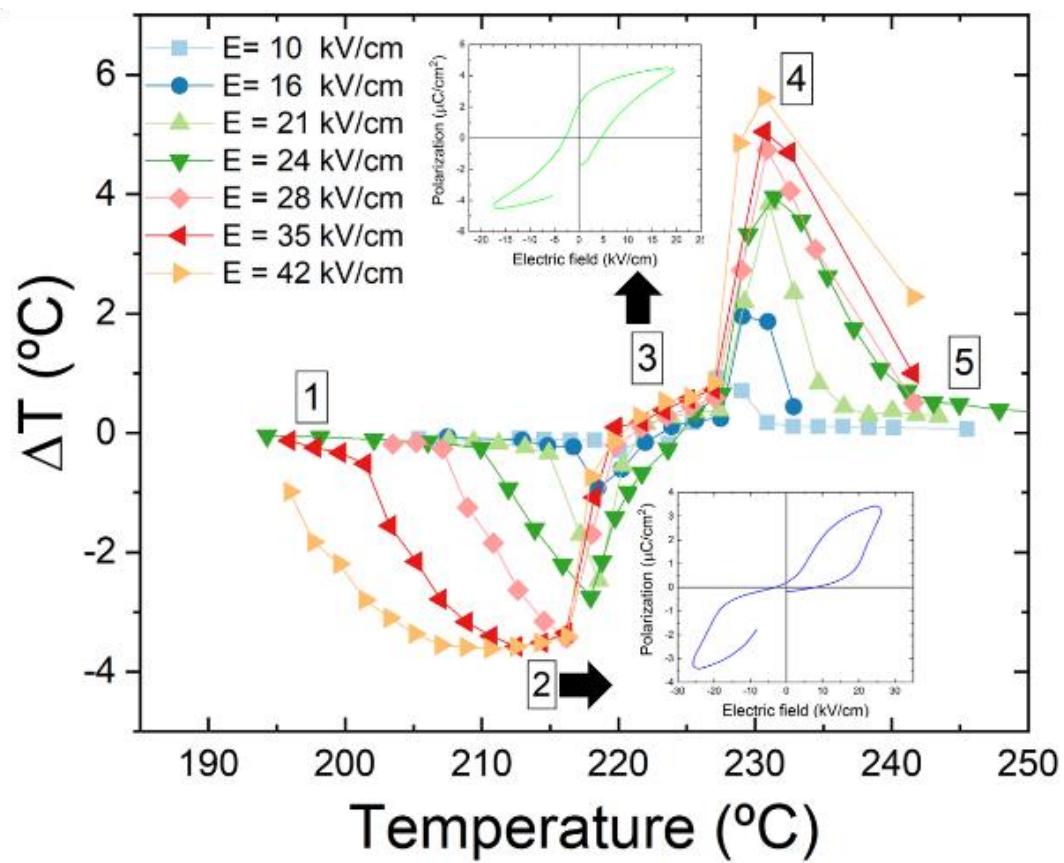
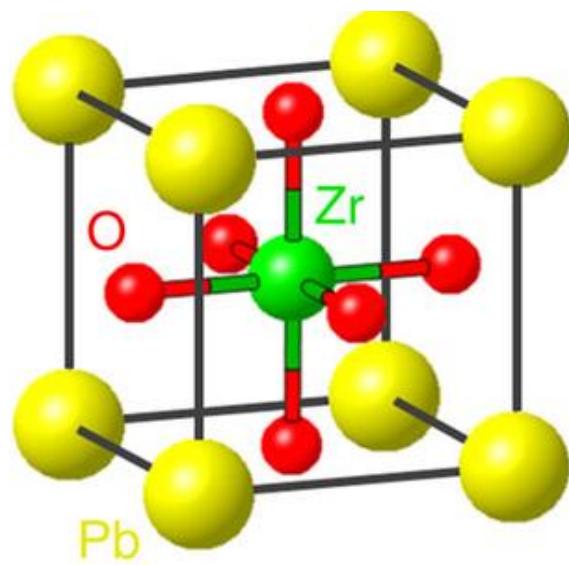
Entropy change with temperature at constant field (isofield)

Electrocaloric materials



LEAD ZIRCONATE PZO

- Perovskite ABO_3



PHYSICAL REVIEW B 103, 054112 (2021)

Positive and negative EC effects 20 K apart !

Origin of large negative electrocaloric effect in antiferroelectric PbZrO_3

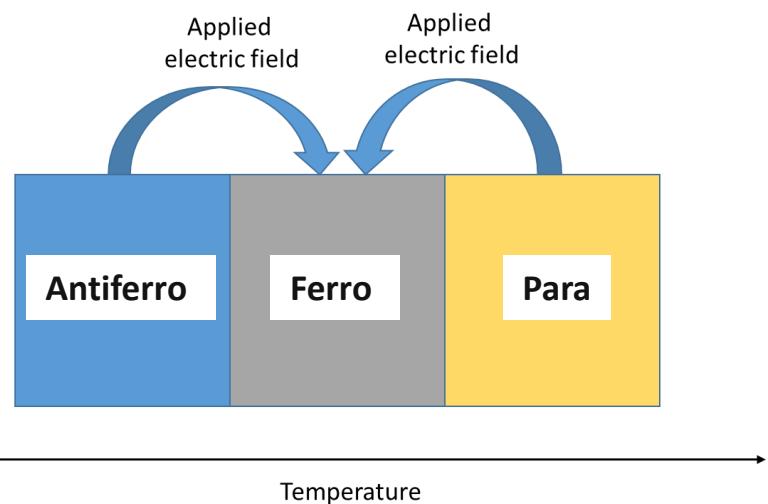
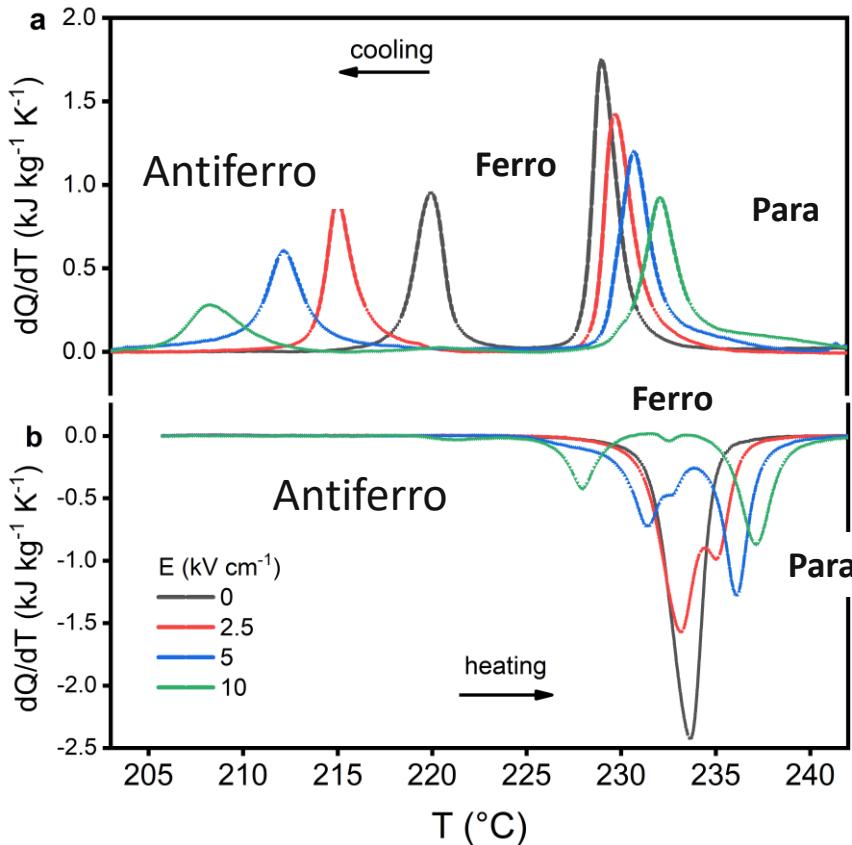
Pablo Vales-Castro^{1,*}, Romain Faye,² Miquel Vellvehi³, Youri Nouchokgwe,^{2,4} Xavier Perpiñà³, J. M. Caicedo,¹ Xavier Jordà,³ Krystian Roleder,⁵ Dariusz Kajewski,⁵ Amador Perez-Tomas,¹ Emmanuel Defay², and Gustau Catalan^{1,6,†}

¹Catalan Institute of Nanoscience and Nanotechnology (ICN2). Campus Universitat Autònoma de Barcelona. Bellaterra 08193. Spain

LEAD ZIRCONATE PZO

Transition driven by EC field

- Differential Scanning Calorimetry

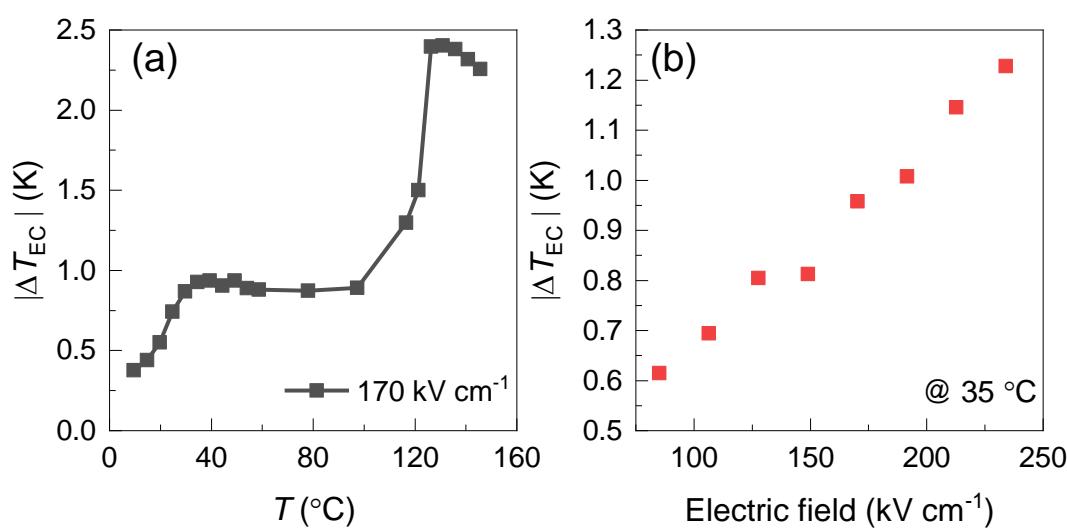
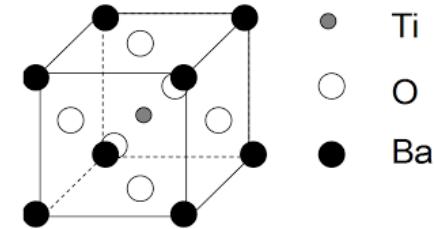
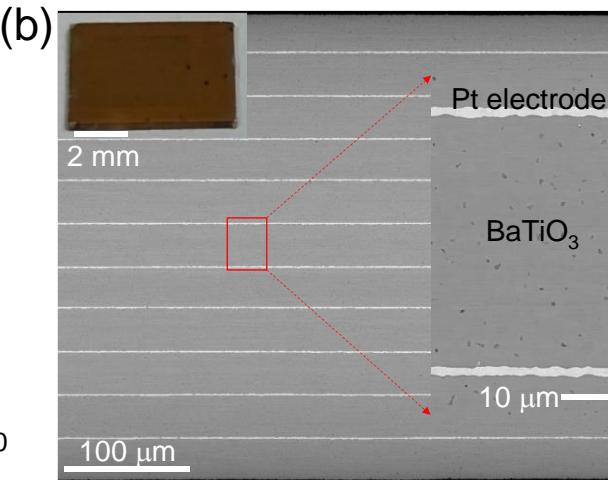
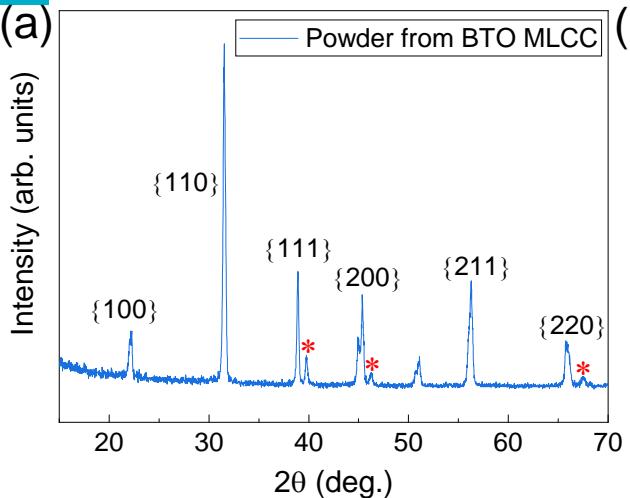


Electric field always brings to ferro !
antiferro to ferro => negative EC effect
Para to ferro => positive EC effect

Phase transition is key

BARIUM TITANATE

Recent work on multilayers made of BaTiO₃



- ΔT max = 2.4 K @ 120 ° C
- ΔT max = 1.25 K @ 35 ° C
- Two phase transitions

J. Phys. Energy 5 (2023) 024017

<https://doi.org/10.1088/2515-7655/acc972>

Journal of Physics: Energy

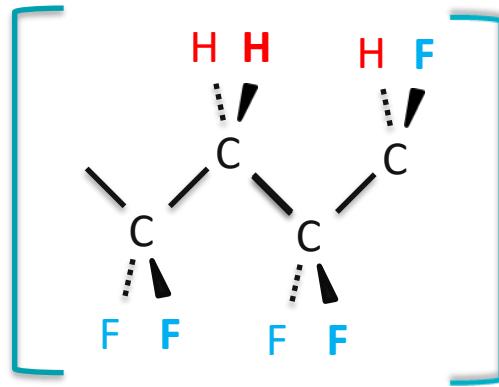
PAPER

Electrocaloric effect in BaTiO₃ multilayer capacitors with first-order phase transitions

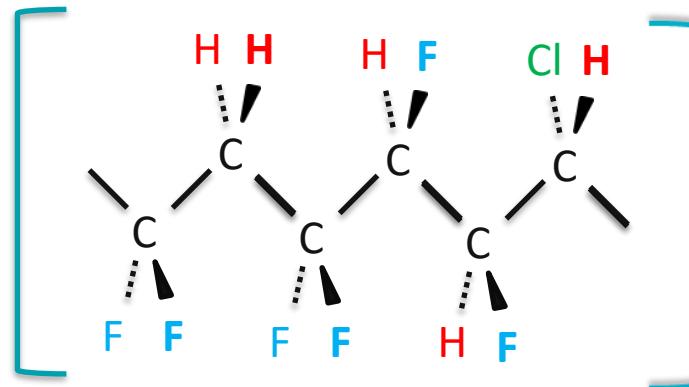
Junning Li¹, Alvar Torelló¹, Youri Nouchkgne¹, Torsten Granzow¹ , Veronika Kovacova¹ , Sakyo Hirose¹ and Emmanuel Defay¹

PVDF (Polyvinylidene Difluoride)

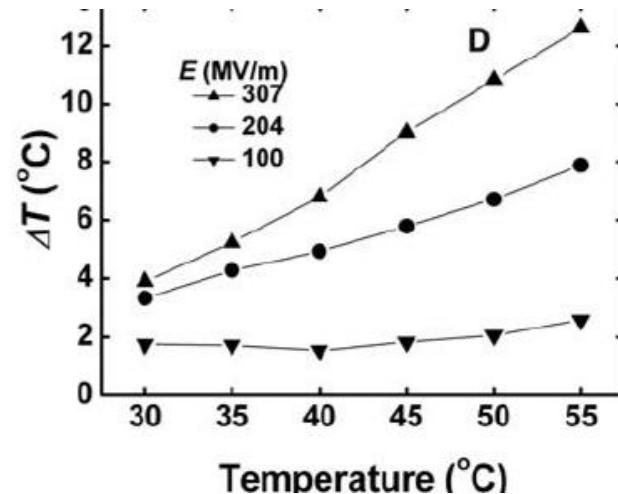
P(VDF-TrFE)



P(VDF-TrFe-CFE)



- Strong EC effect in P(VDF-TrFE) and P(VDF-TrFE-CFE)
- Neese et al., *Science*, 2008, Pennsylvania State Univ.
- $\Delta T_{\max} = 12 \text{ K}$
- $\Delta S_{\max} = 65 \text{ J/(KgK)}$
- Electric field_{max}=3MV/cn



Large Electrocaloric Effect in Ferroelectric Polymers Near Room Temperature
Bret Neese, et al.
Science 321, 821 (2008);
DOI: 10.1126/science.1159655

Electrocalorics comparison

| Material | PZT 95/5 | P(VDF-TrFE) |
|------------------------------------------------------|--------------------------------|------------------------------------|
| Reference | <i>Mischenko, Science 2006</i> | <i>Neese et al., Science, 2008</i> |
| $ \Delta S_m $ [J K ⁻¹ kg ⁻¹] | 8 | 65 |
| $ \Delta S_v $ [kJ K ⁻¹ m ⁻³] | 62 | 97 |
| $ \Delta T $ [K] | 12 | 12 |
| $ \Delta E $ [kV cm ⁻¹] | 480 | 3000 |
| $\varepsilon / \varepsilon_0$ max | [-] | 70 |
| T_C [°C] | 222 | 80 |

10 x more entropy change in polymers for the same mass



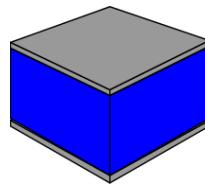
Giant Electrocaloric Effect in Thin-Film PbZr0.95Ti0.05O3
A. S. Mischenko, et al.
Science 311, 1270 (2006);
DOI: 10.1126/science.1123811



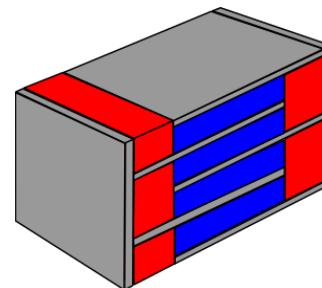
Large Electrocaloric Effect in Ferroelectric Polymers Near Room Temperature
Bret Neese, et al.
Science 321, 821 (2008);
DOI: 10.1126/science.1159655

A KEY ELEMENT – MULTILAYER CAPACITORS

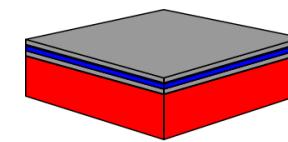
- EC active material
- Inactive material
- Electrode material



Bulk



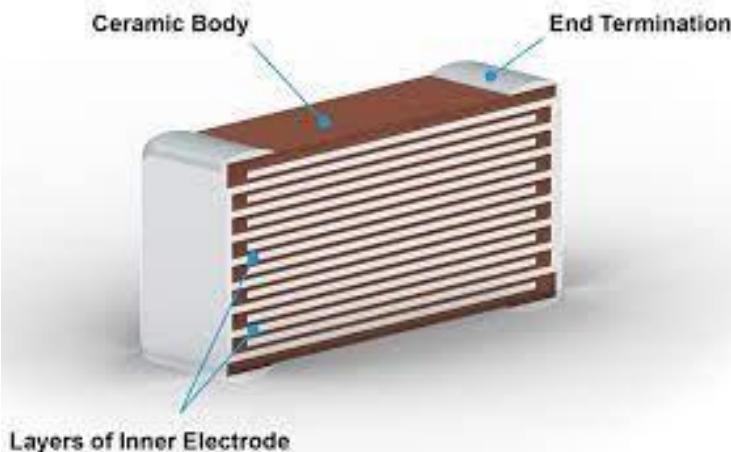
Multi-Layer Capacitor (MLC)



Thin/Thick Film

MLCs combine bulk and films properties

- Macroscopic object
- Large field can be applied (supercritical regime)
- Inner metal electrodes increase effective thermal conductivity

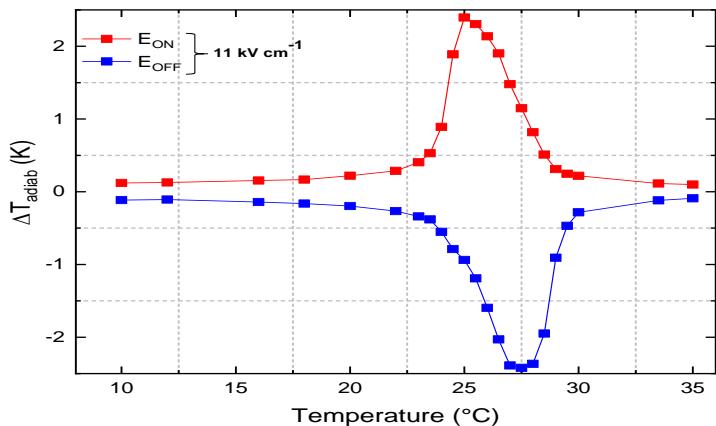


Best samples for electrocaloric prototypes

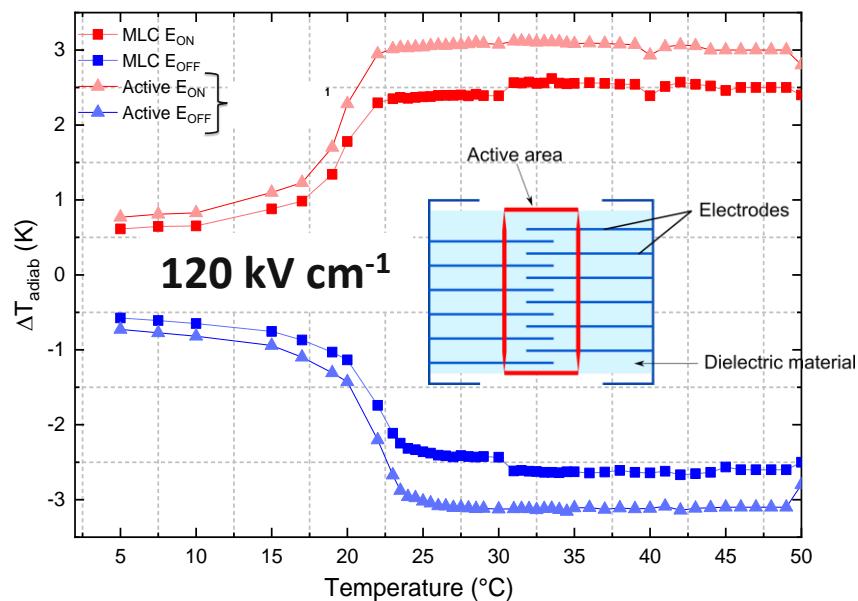
MLC BEHAVIOUR COMPARED TO BULK

BULK PST

11 kV cm⁻¹



MLC PST



- Much larger field applied in MLC
- Much larger active temperature range in MLC
- Similar heat generated



ARTICLE

<https://doi.org/10.1038/s41467-021-23354-y> OPEN

Check for updates

Giant electrocaloric materials energy efficiency in highly ordered lead scandium tantalate

Youri Nouchokgne^{1,2*}, Pierre Lheritier¹, Chang-Hyo Hong³, Alvar Torelló^{1,2}, Romain Faye¹, Wook Jo^{1,3}, Christian R. H. Bahlo⁴ & Emmanuel Defay^{1,5}

Scripta Materialia 219 (2022) 114873



Contents lists available at ScienceDirect

Scripta Materialia

journal homepage: www.journals.elsevier.com/scripta-materialia



Materials efficiency of electrocaloric lead scandium tantalate multilayer capacitors

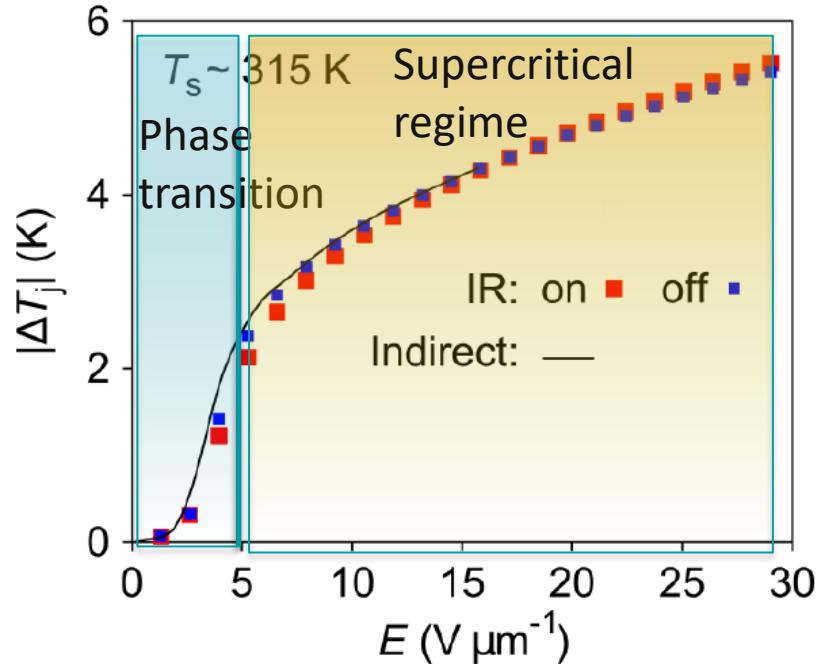
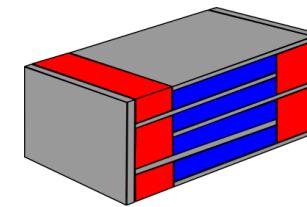
Youri Nouchokgne^{a,b,*}, Pierre Lheritier^a, Tomoyasu Usui^c, Alvar Torello^{a,b}, Asmaa El Moul^a, Veronika Kovacova^a, Torsten Granzow^a, Sakyo Hirose^c, Emmanuel Defay^{a,c}



ROLE OF PHASE TRANSITIONS ON EC EFFECT

Example on PST multilayer capacitors (MLCs)

- Two regimes in $\Delta T = f(\text{electric field})$ at constant temperature



Article

Large electrocaloric effects in oxide multilayer capacitors over a wide temperature range

nature

MLC PST
 ΔT max = 5.5 K

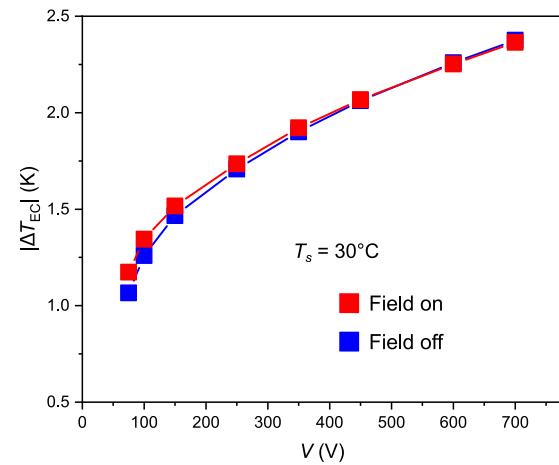
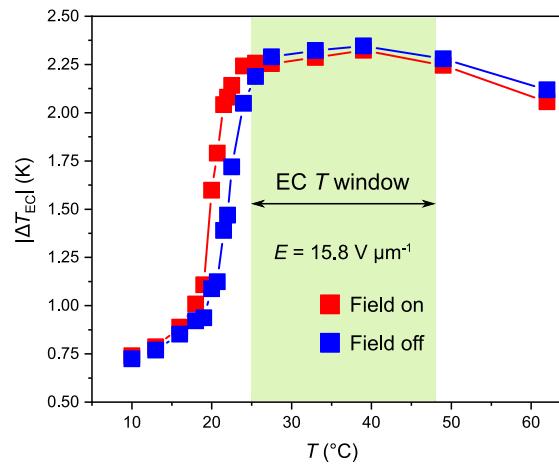
muRata
 INNOVATORS IN ELECTRONICS

ELECTROCALORIC CHARACTERIZATION

Direct method (IR imaging)



Direct method (IR imaging)



$\Delta T_{EC} = 2.2 \text{ K}$ from 25 to 50 °C at 600 V

Other means

- Thermocouple directly on devices (big samples)
- DSC (big samples)
- Indirect methods, from Maxwell (ergodic materials, thin films)

Cooling Systems

What do we need to build a cooler?

1 Cooling mechanism

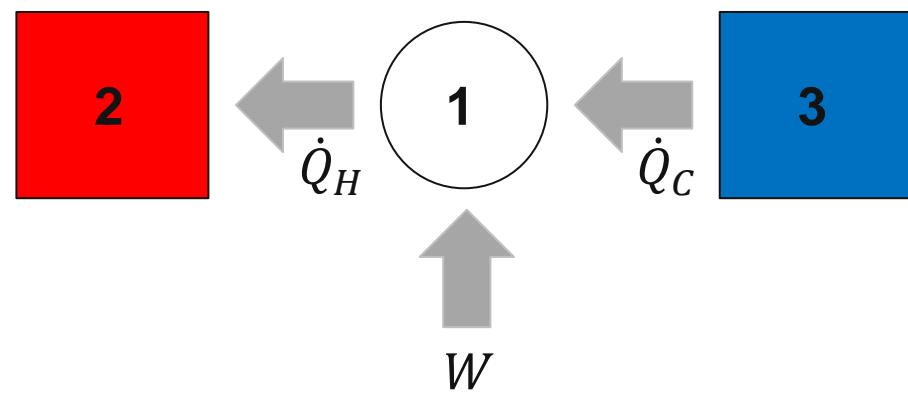
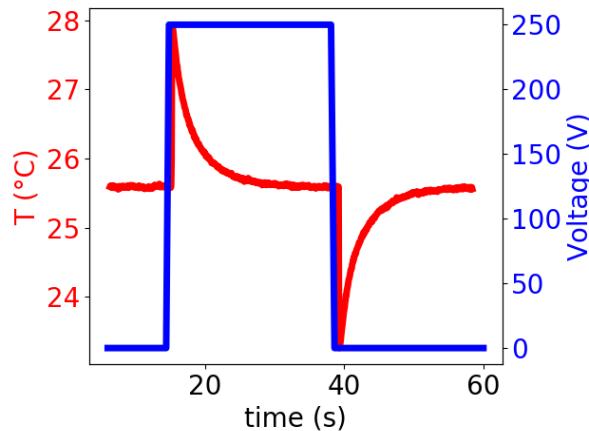
- Vapour compression / expansion
- Electrocaloric Effect

2 Hot side

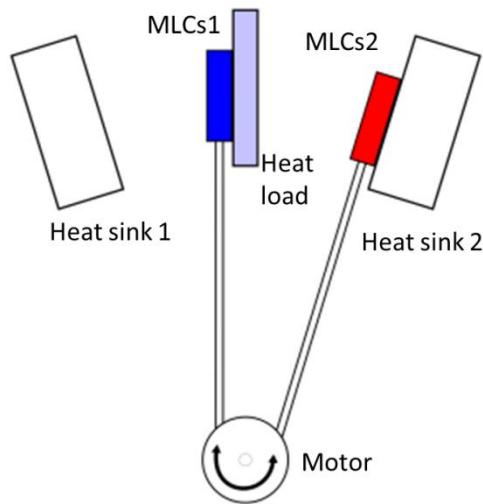
- To release the heat generated by the active material to the surroundings.

3 Cold side

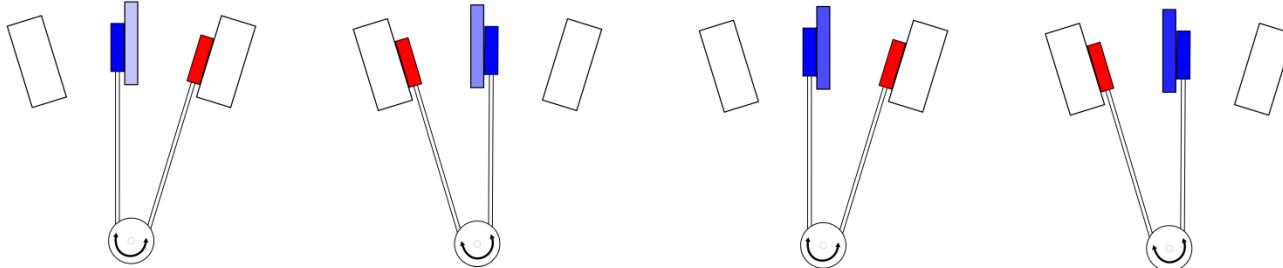
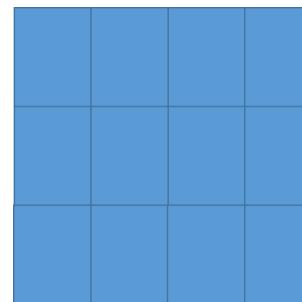
- The active material is cooled down and absorbs heat from a cooling load.



THE SLAPPING MACHINE



2 plates of 12 BaTiO₃ MLCs
(3 x 4)



ARTICLE

DOI: 10.1038/s41467-018-04027-9

OPEN

Enhanced electrocaloric efficiency via energy recovery

E. Defay^{1,2,3,4}, R. Faye¹, G. Despesse², H. Strozyk¹, D. Sette¹, S. Crossley^{3,5}, X. Moya³ & N.D. Mathur³

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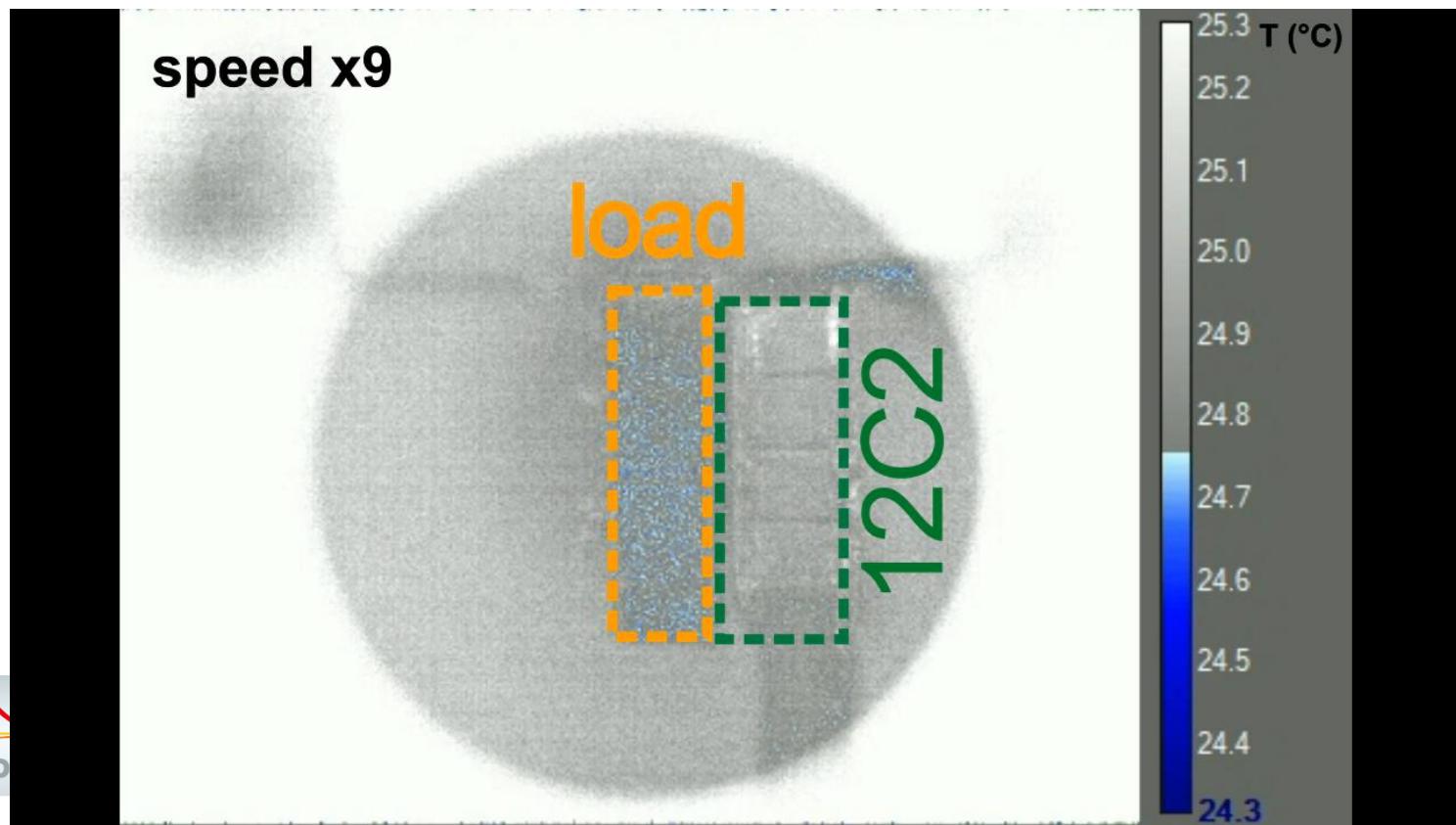
LIST



PROTOTYPE VIDEO

- Final ΔT device = 0.35 K

The slapping machine



ARTICLE

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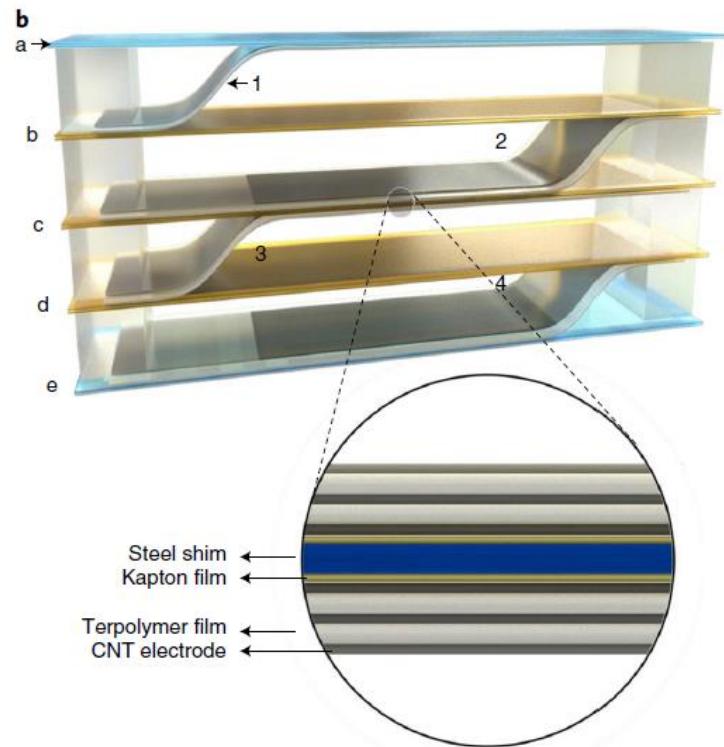
Enhanced electrocaloric efficiency via energy recovery

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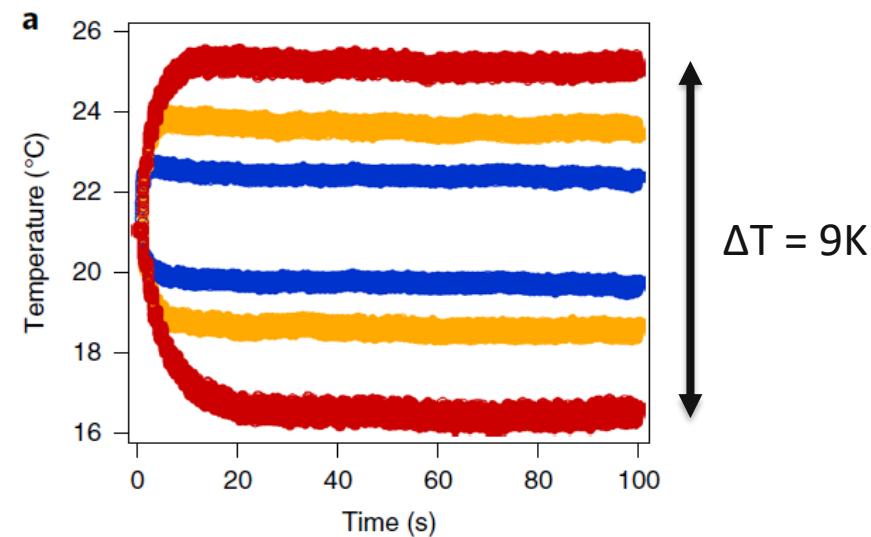
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LIST

Cascading principle – “pass-the-parcel”



Based on PVDF
Maximum ΔT device = 9 K



nature
energy

ARTICLES

<https://doi.org/10.1038/s41560-020-00715-3>

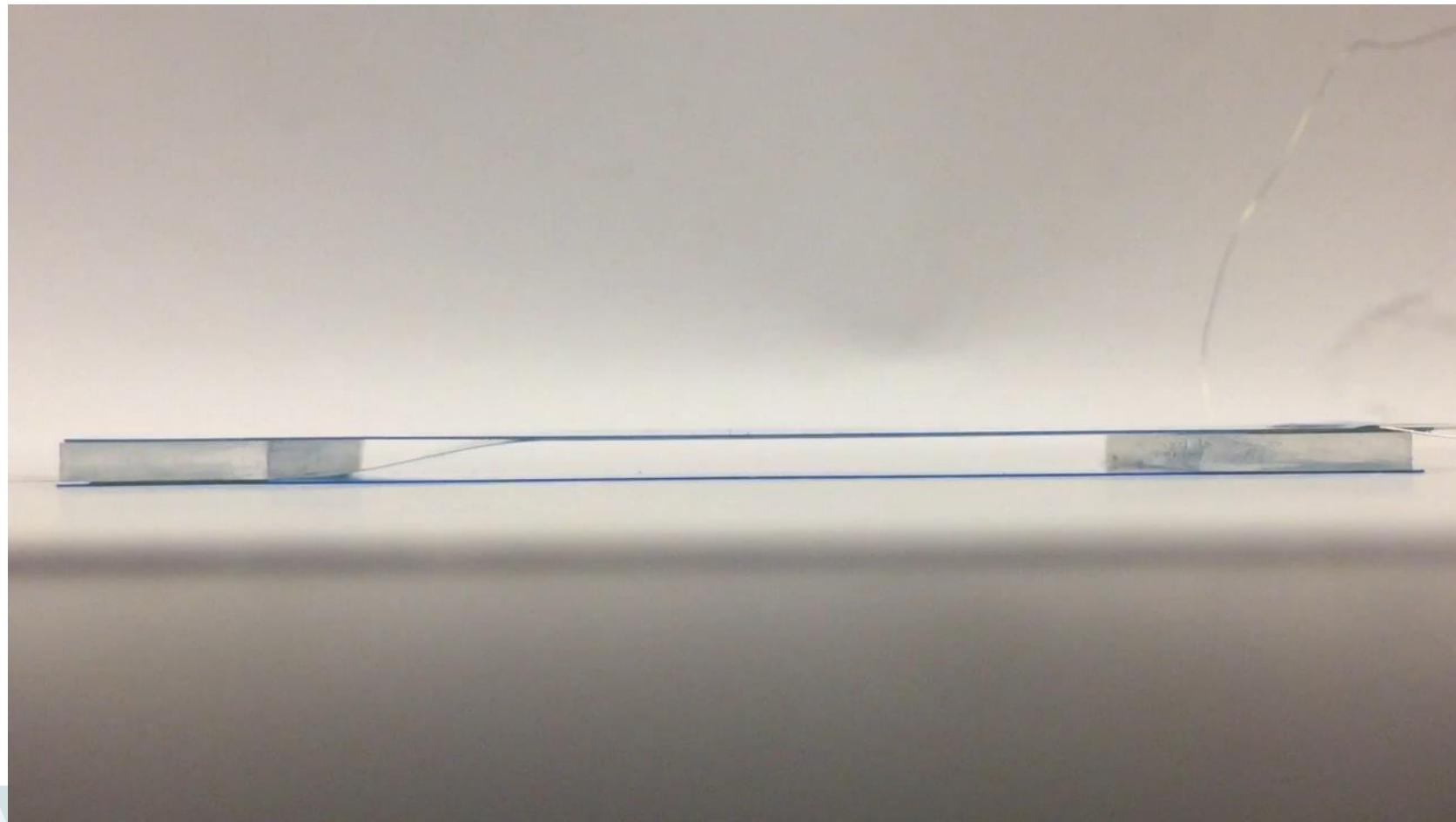


A cascade electrocaloric cooling device for large temperature lift

Yuan Meng¹, Ziyang Zhang¹, Hanxiang Wu¹, Ruiyi Wu², Jianghan Wu¹, Haolun Wang¹ and Qibing Pei^{1,3}

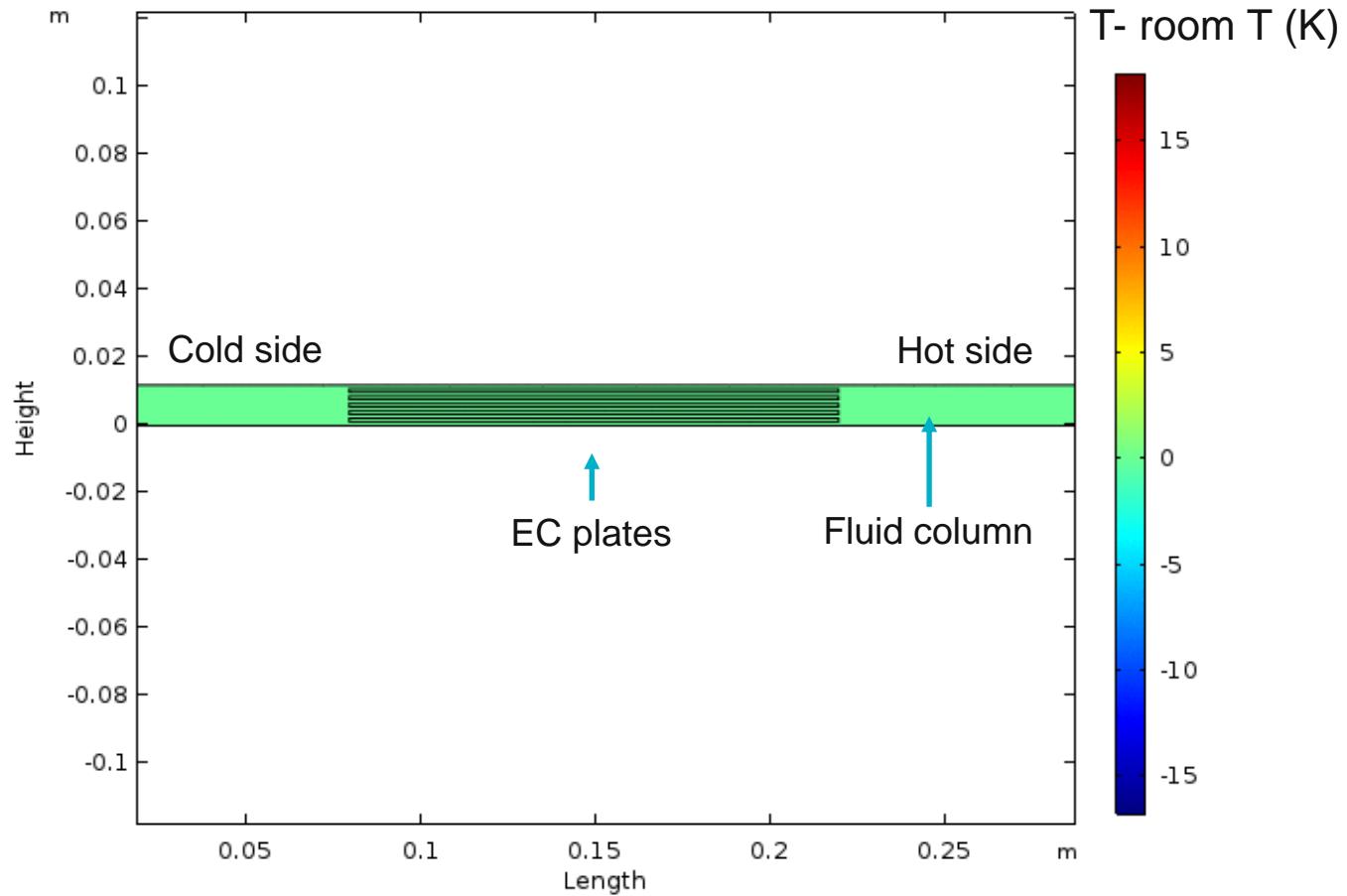
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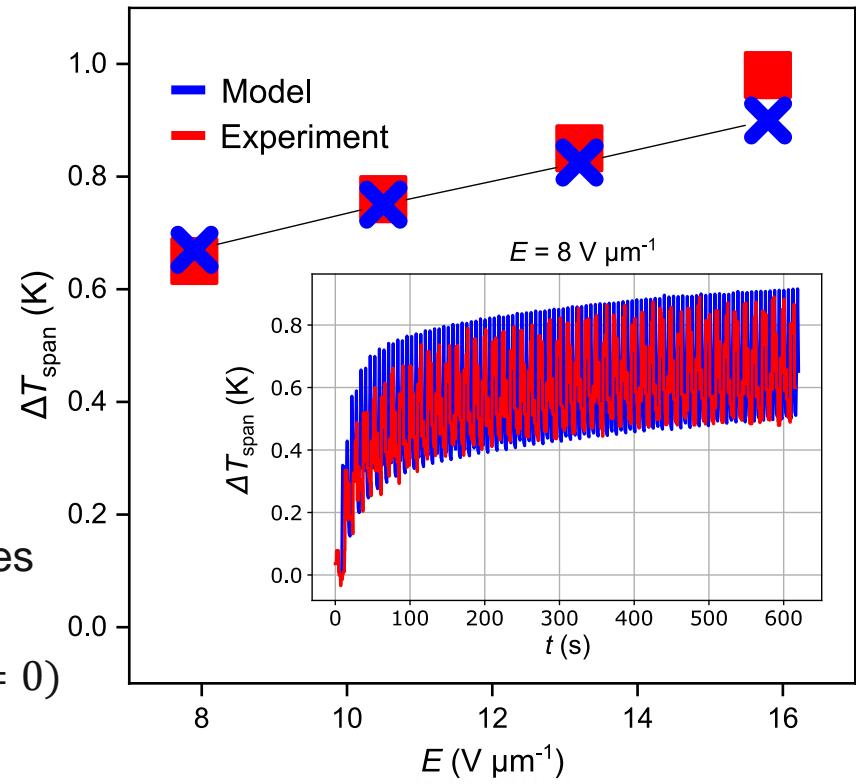
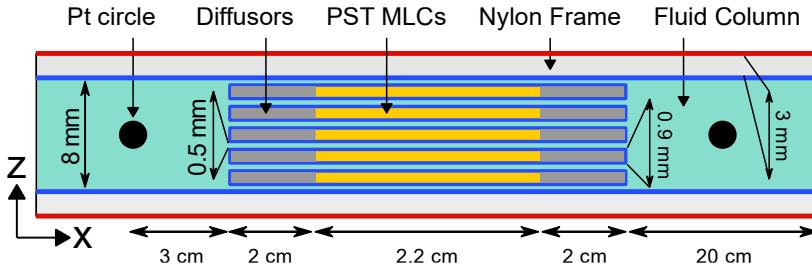
Electrocaloric Cooling

Fluid-based regenerators



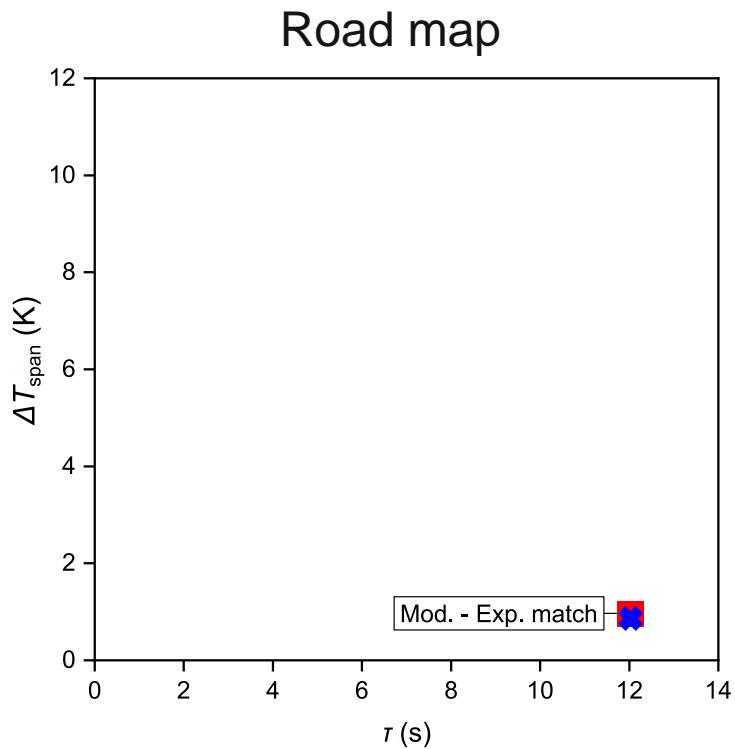
ELECTROCALORIC REGENERATOR – MODELLING

2D representation



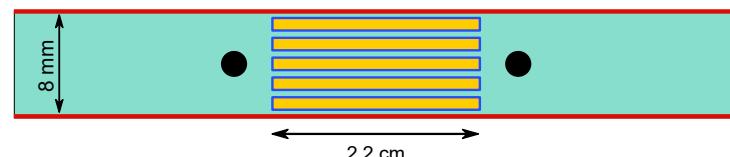
- Coupling **Heat transfer** + **Fluid dynamics** modules
- Adiabatic conditions in the exterior walls ($\frac{dq_n}{dt} = 0$)
- No – Slip boundary in the fluid wall ($u_n = 0$).
- Average Temperature of Platinum Circle

ELECTROCALORIC REGENERATOR – MODELLING

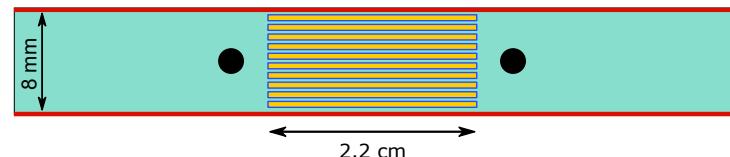


Model configurations

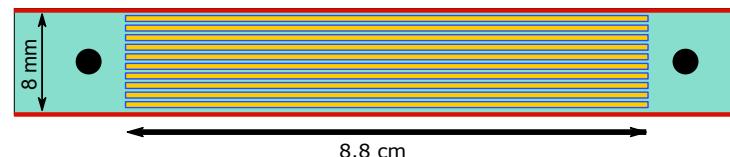
Less inactive mass



Increasing heat exchange area

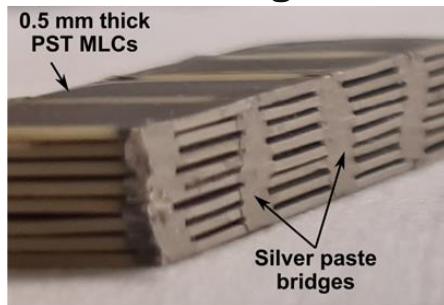


Enlarging length



ELECTROCALORIC REGENERATOR – FABRICATION

Self standing Parallel-Plate



- 128 0.5 mm thick PST MLCs (16 col x 8 row)
- Double-sided tape spacers
- Silver paste electrodes

Shrinking Polymer tube to seal structure



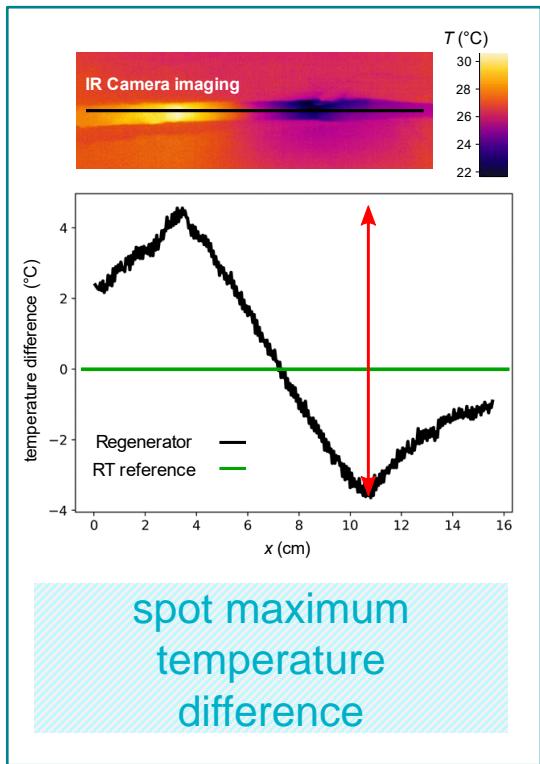
Convenient solution

1. Negligible thermal mass
2. Minimum dead volume
3. Low cost, flexible structure

Gluing Polymer tube ends to fluid tubing

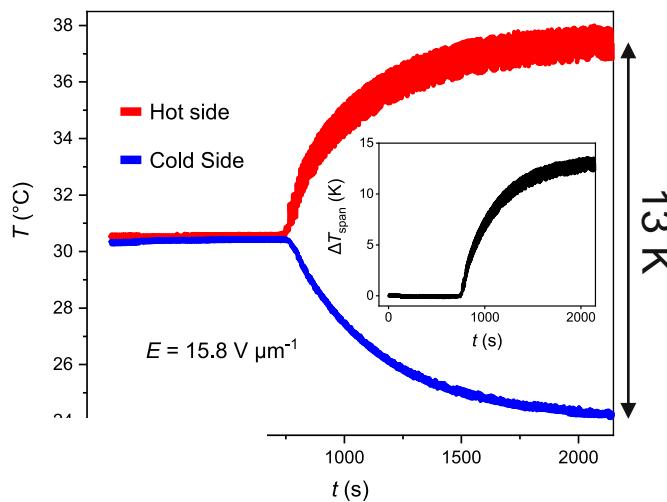


ELECTROCALORIC REGENERATOR – RESULTS



Final fabrication steps

- 1) Type K thermocouples
- 2) Polyurethane foam
- 3) In a box to ensure $T_i = 30^\circ \text{ C}$



What about cooling power?

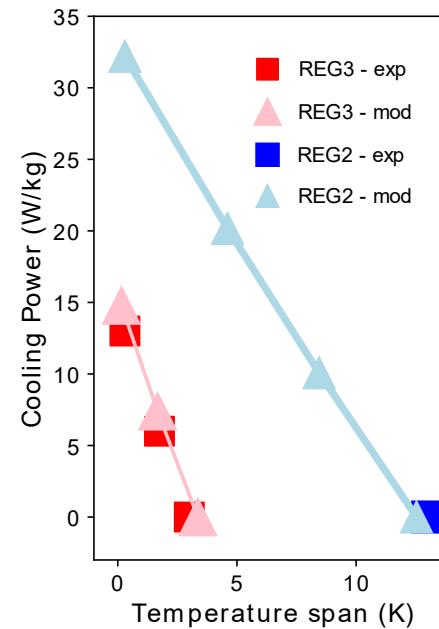
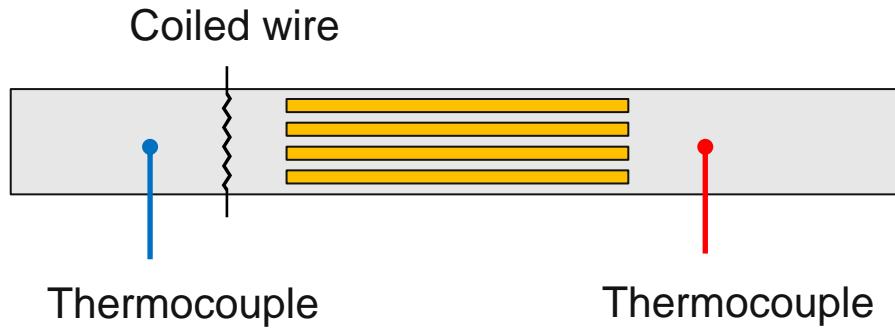
Science

Giant temperature span in electrocaloric regenerator

A. Torelló, P. Lheritier, T. Usui, Y. Nouchokgwe, M. Gérard, O. Bouton, S. Hirose and E. Defay

ELECTROCALORIC REGENERATOR – COOLING POWER

Coiled wire to act as a heat source in a 32 1 mm thick PST-MLC regenerator

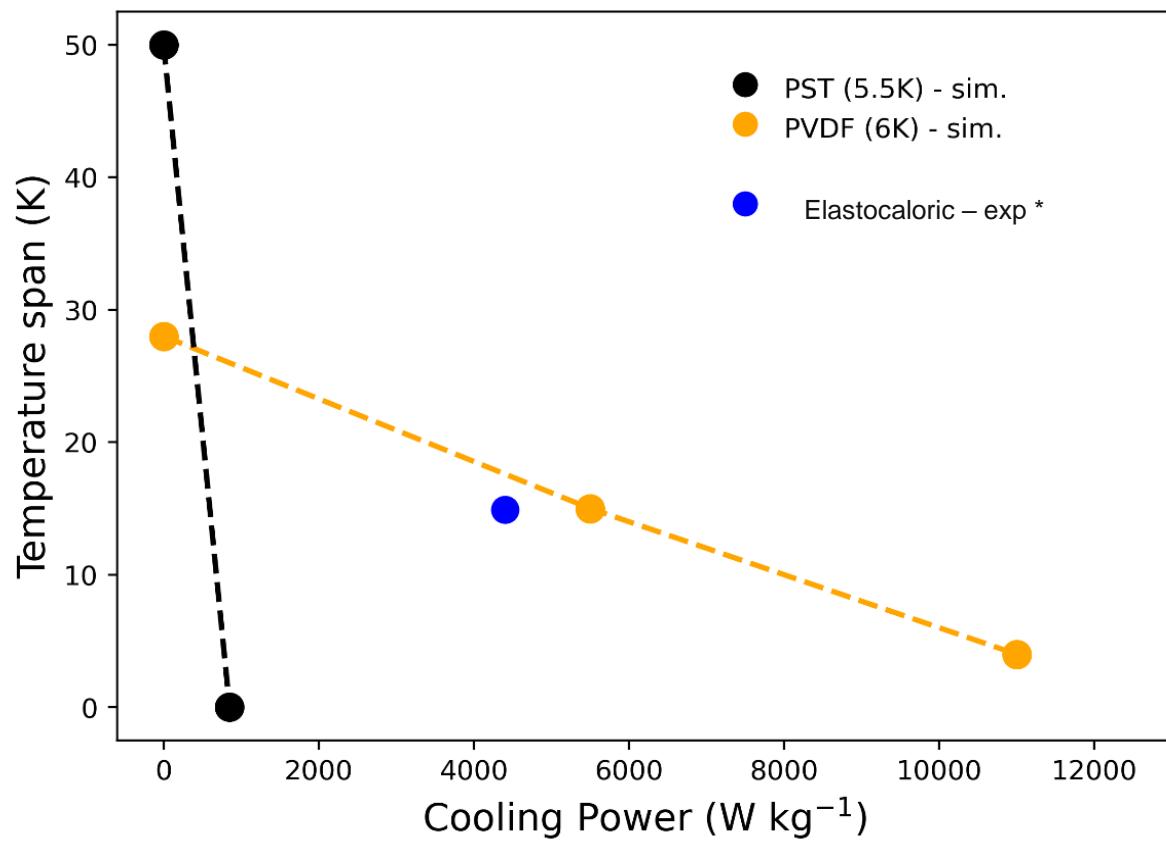


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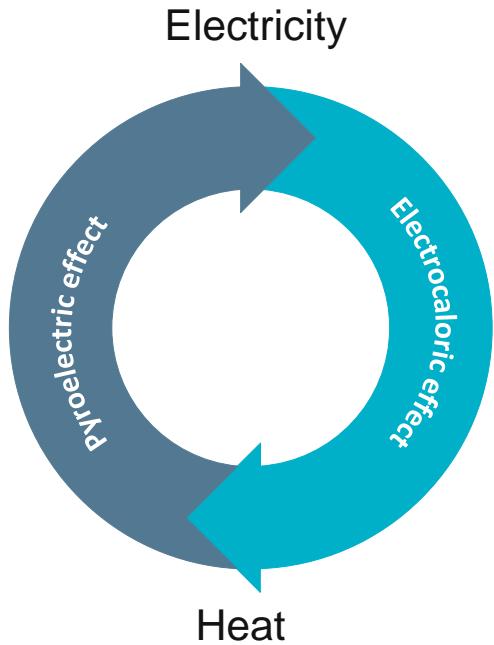
FUTURE PREDICTIONS



- $\Delta T = 50 \text{ K}$ max with PST
- $\Delta T = 15 \text{ K}$ and cooling power = 5500 W kg^{-1} with PVDF
- Very large values !
- Main issue – heat exchange
- The potential is massive !

*Z. Ahčin, .., J. Tusek, Joule 6, 2338-2357 (2022).

PYROELECTRIC HARVESTING



How good are $\text{Pb}(\text{Sc},\text{Ta})\text{O}_3$ multilayers at converting heat into electricity?

- Good electrocaloric materials must be good pyroelectrics

Pyroelectric coefficient

$$\Delta T_{EC} = -\frac{T}{c_E} \int_{E_{min}}^{E_{max}} \left(\frac{\partial D}{\partial T} \right)_E dE$$

Can we harvest energy in the Joule range?

- Scaling it up with macroscopic heat harvesting prototypes

THE PRINCIPLE OF PYRO ENERGY HARVESTING IN CYCLES

- 1. charge a capacitance C at temp T_1
- 2. disconnect the capacitance
 - => charge remains the same
- 3. heat it up to T_2
- 4. discharge the capacitance at T_2 (harvest)
- 5. cool it down to T_1
- 6. charge it again in order to cycle

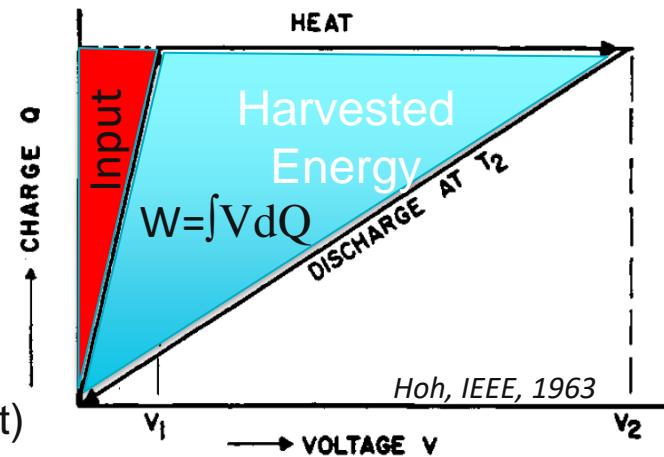


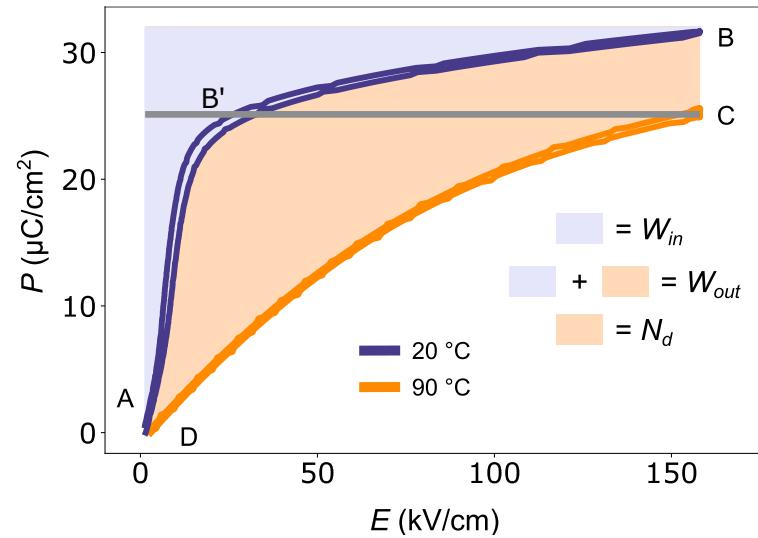
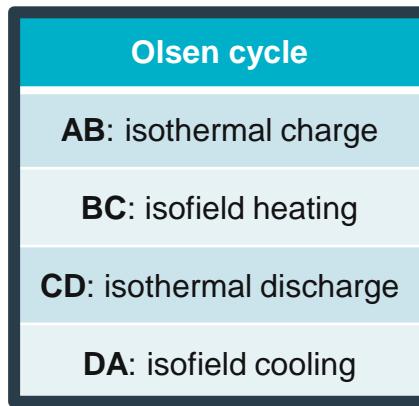
Fig. 7—Operating cycle of converter.



Need for large variation of capacitance
Need for very low leakage

PYROELECTRIC ENERGY HARVESTING IN CHARGE AND VOLTAGE

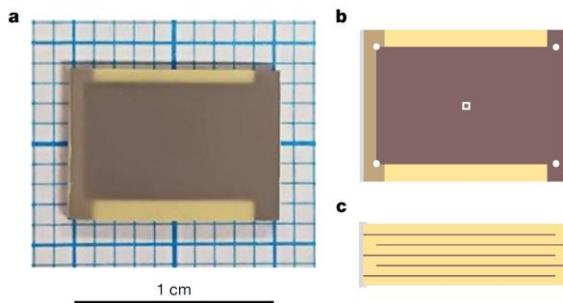
Temperature oscillations with time



BUILDING A PYROELECTRIC HARVESTER

1. Pyroelectric material (electrocaloric)

PST-MLCs



B. Nair et al., *Nature* **575**, 468–472 (2019)

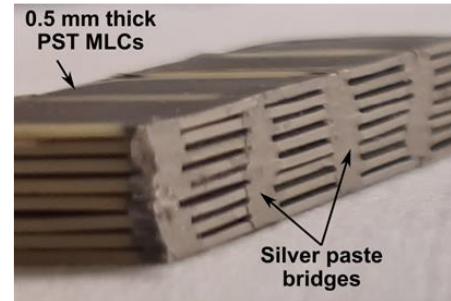
2. Temperature oscillation in time (hot reservoir)

(cold bath)

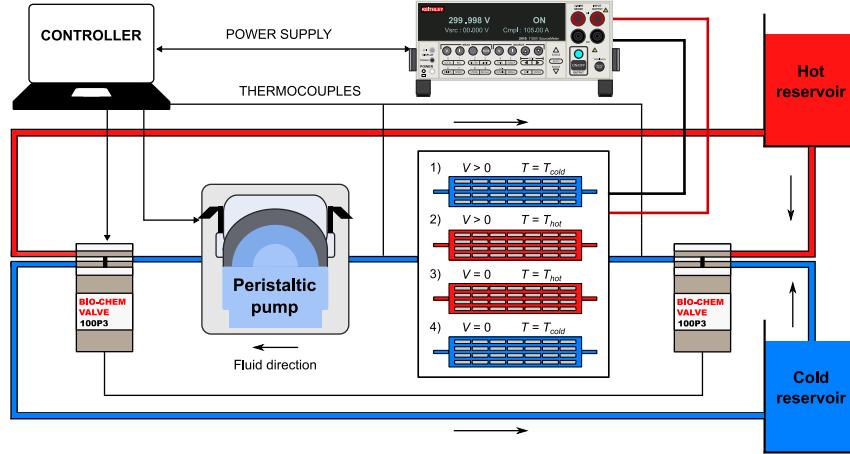


3. Efficient heat transfer

(dielectric fluid flowing through a parallel plate structure)



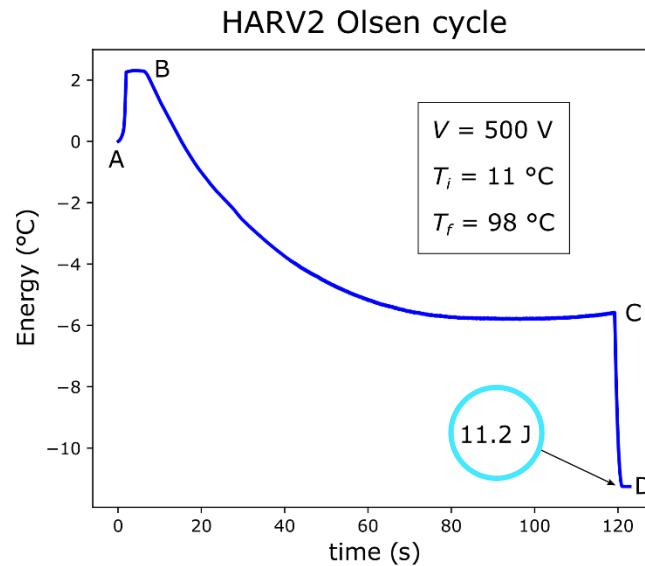
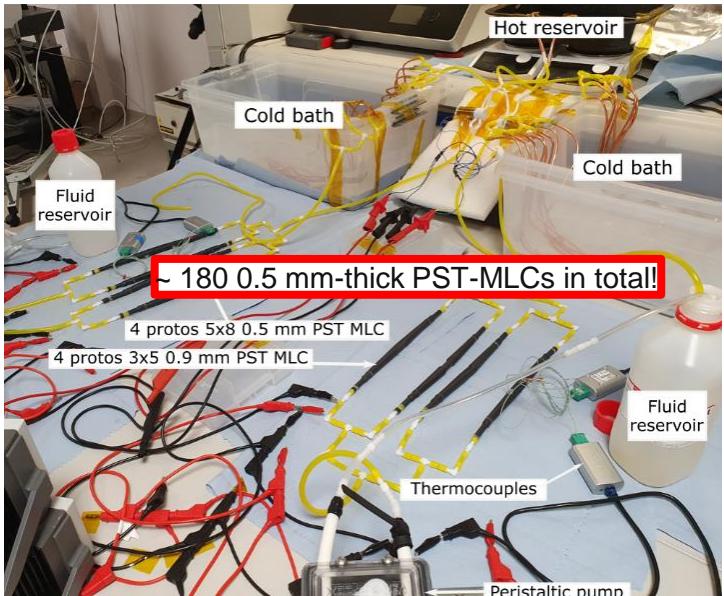
EXPERIMENTAL SET-UP FOR ENERGY HARVESTING



28 1 mm-thick multilayers
Parallel plate matrix: 7 col x 4 rows

One multilayer = 0.3 grams of active material

OUR BEST RESULT



Article

Large harvested energy with non-linear pyroelectric modules

nature

<https://doi.org/10.1038/s41586-022-05069-2>

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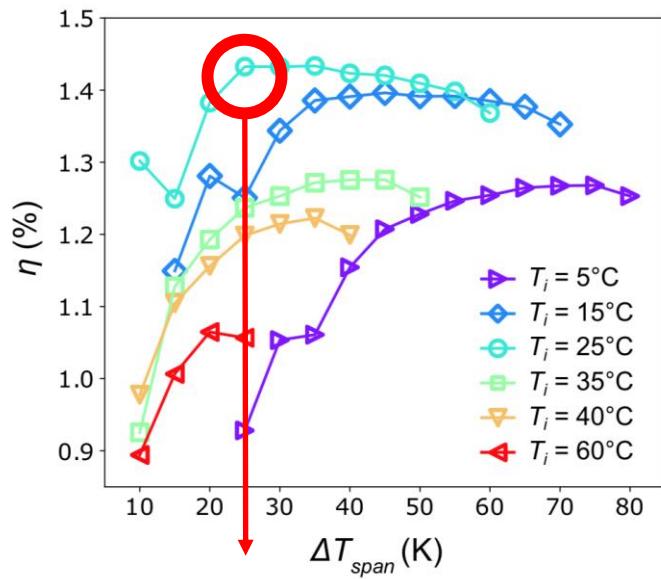
11.2 J with 41.2 g of active material

Harvesting Joules with grams

Energy efficiency

η_r = efficiency with respect to Carnot

A



$$\eta = 1.43\% \text{ (solar panels } \sim 20\%)$$

WHAT CAN WE DO WITH IT ?

Some ideas under investigations

- Autonomous sensors with heat energy harvester (already in the previous study)
- Energy harvesting for large facilities (steel factories)
- Energy harvesting in space (CubeSat project)
- Solar panels

Main challenges

- Materials without lead and with phase transition
- Large heat exchange (water, designs)

CONCLUSION

- Large electrocaloric effect => large variation of polarisation and low ϵ
- Best electrocaloric materials => PST and PVDF
- Field-induced phase transition induces large EC effect
- Multilayer capacitors => excellent structure for prototypes (good material and large field)
- Best prototype : PST MLCs and fluid. $\Delta T = 13 \text{ K}$ @ room T°
- PVDF and PST have a lot of potential. Alternative to PST required.
- Efficiency matters !
- The conjugated effect is also of interest – pyroelectric energy harvesting

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